

A. DEMAT TARIFF SHEET

SCHEMES	AMC Based ☐	Deposit Charges ☐	One Time ☐	BSDA Tariff ☒	
				Upto Rs. 4,00,000	Rs. 4,00,001 to Rs. 10,00,000
Account Opening Charges	Nil	Nil	Nil	Nil	Nil
Documentation Charges	Nil	Nil	Nil	Nil	Nil
POA Charges	Rs.150	Nil	Nil	Rs.150	Rs.150
AMC for Individual & HUF Account	Rs.250	Rs.2000 (refundable)*	Rs.1000 (Non-refundable)	Nil	Rs.100
Entities other than above mentioned	Rs.500+ (Rs.500 CDSL/ NSDL Charges)	Rs.6500 (Refundable)*	Rs.3500 (Non-refundable)	N.A.	N.A.
Transaction Charges:-					
On purchase	Nil	Nil	Nil	Nil	Nil
On sale :-					
Within Swastika Group	0.02% Or (Min.Rs.17)*	0.02% Or (Min.Rs.17)*	0.02% Or (Min.Rs.17)*	0.02% Or (Min.Rs.17)*	0.02% Or (Min.Rs.17)*
Outside Swastika Group	0.03% Or (Min. Rs.25)*	0.03% Or (Min. Rs.25)*	0.03% Or (Min. Rs.25)*	0.03% Or (Min. Rs.25)*	0.03% Or (Min. Rs.25)*
Settlement Fees	*Inc. of transaction fee i.e. ₹4.00 for NSDL and ₹3.50 for CDSL				
Demat charges	Rs.2 per certificate** Or (Min. Rs. 10)	Rs.2 per certificate** Or (Min. Rs. 10)	Rs.2 per certificate** Or (Min. Rs. 10)	Rs.2 per certificate** Or (Min. Rs. 10)	Rs.2 per certificate** Or (Min. Rs. 10)
Remat charges	Rs.25/every 100 securities (Min. Rs. 50) Or (CDSL/NSDL charges extra)**	Rs.25/every 100 securities (Min. Rs. 50) Or (CDSL/NSDL charges extra)**	Rs.25/every 100 securities (Min. Rs. 50) Or (CDSL/NSDL charges extra)**	Rs.25/every 100 securities (Min. Rs. 50) Or (CDSL/NSDL charges extra)**	Rs.25/every 100 securities (Min. Rs. 50) Or (CDSL/NSDL charges extra)**
Pledge Creation, Closure:-	0.02% Or (Min. Rs.50)	0.02% Or (Min. Rs.50)	0.02% Or (Min. Rs.50)	0.02% Or (Min. Rs.50)	0.02% Or (Min. Rs.50)
Margin Pledge / Un pledge	Rs. 15	Rs. 15	Rs. 15	Rs. 15	Rs. 15
Account Freezing	Rs. 100	Rs. 100	Rs. 100	Rs. 100	Rs. 100
Delay pay-in per	Rs. 20	Rs. 20	Rs. 20	Rs. 20	Rs. 20

Notes for Demat Tariff Sheet :

- Swastika reserves the right to revise the tariff structure from time to time, with notice of 30 days. The notice may be given by Ordinary post, email or on the website of Swastika.
- DRF would be accepted against upfront payment.
- Rejection of DRF for BSDA Tariff will be charged at Rs.40/- plus Rs.2/- per certificate and Other than BSDA Tariff will be charged at Rs.50/-.
- Each bounce instruction (rejected) will attract a fine of Rs.20/-.
- A penalty of 1% interest per month on the value outstanding on the bill from the due date of Rs.25/- whichever is higher.
- Service tax and other levies would be extra as applicable.
- Free transaction will be provided monthly and quarterly as per CDSL/NSDL norms and extra Rs.10/- per statement other than BSDA Tariff.
- Any services that is not indicated above will be charged separately as per the rates applicable from time to time.
- Subject to Closing Demat Account in the First Year
- *Exit load Rs.400/- (Rs.550/- entities other than Individual & HUF) will be applicable
** Postage Charges will be charged on actual basis. (Min. Rs. 50/-)
- Basic Service Demat Account (BSDA) facility will be available as per Security Exchange Board of India (SEBI) Circular for opening a BSDA and any amendment from time to time. In case of Demat account under BSDA facility does not meet the eligibility for BSDA facility as per SEBI guidelines or any such authority at any point of time, BSDA account will be converted to regular demat account without reference and will be levied charges as applicable to regular accounts as informed by the Depository Participant.

Date: _____
Place: _____

(First/Sole Holder Signature)

(Second Holder Signature)

(Third Holder Signature)