

SEGMENT ACTIVATION FORM

To,
Swastika Investmart Limited
48, Jaora Compound,
MYH Road,
Indore-452001

Date	D	D	M	M	Y	Y	Y	Y
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Sub. : Confirmation for trading in Slbm / Derivative / Commodity Segment.

Dear Sir/Madam,

I _____ having residence address at _____
_____ being a registered client of your
company bearing client code _____ already working in _____ Segment.

I had already filled and submitted my Know Your Client and given all the required documents duly signed, i.e., Member Client Agreement, Risk Disclosure Documents, Rights & Obligations and other Compulsory and Voluntary Documents as per the rules & regulations applicable.

Now, I intend to start trading in Slbm / NSE & BSE Derivative (FO & Currency) / MCX & NCDEX (Commodity Derivatives) Segments with immediate effect, kindly activate mentioned segments in my account.

I hereby bound myself with all the rules & regulations as applicable and amended from time to time by the respective authority.

A. TRADING PREFERENCES				
Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.				
Segments	F&O	Currency	SLBM	Commodity
NSE				
BSE				
MCX				
NCDEX				

B. Gross Annual Income				
<input type="checkbox"/> Below 1 Lakh	<input type="checkbox"/> 1-5 Lakhs	<input type="checkbox"/> 5-10 Lakhs	<input type="checkbox"/> 10-25 Lakhs	<input type="checkbox"/> >25 Lakhs
DECLARATION				
<p>1 / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP/Stock broker any change(s) in the details / particulars mentioned by me / us in this form. I/We further agree that any false/misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action and I/We may be held liable for such information/representation. I/We have received, read and understood the "Rights and Obligations Document" for trading and DP Services, "Risk Disclosure Document", "Guidance Note" and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I/We also confirm having read/been explained and understood the contents of the document on policy and procedures of the stockbroker and the tariff sheet. I/We have also been informed that the standard set of documents has been displayed for information on stock. I/We also declare that I/We complied and will continue to comply with FEMA Regulations.</p>				

If you do not mention any brokerage/mention below the minimum rate of brokerage, then default brokerage will be charged.

**Currency Underlying Exposure Declaration Letter
to trade currency derivatives with Swastika Investmart Limited**

To,
Swastika Investmart Limited
48, Jaora Compound,
MYH Road,
Indore - 452001

Dear Sir/Madam,

The undersigned, hereafter referred to as "the Trader" hereby declares and agrees to the following terms and conditions concerning their trading activities Swastika Investmart Limited ("Swastika"):

1. Engagement in Currency Trading: The Trader expresses their intent to trade in the currency segments on both National Stock Exchange & Bombay Stock Exchange.
2. Position Size Limitation and Management: The Trader commits to maintaining their position size within a limit of one hundred million United States Dollars (USD 100,000,000) as specified by the exchanges/RBI. Should the Trader's position size exceed this specified limit, the Trader agrees to manage the excess position through the use of an Authorized Dealer or Custodian, as appropriate.
3. Compliance with Regulatory Requirements: The Trader affirms compliance with clause 3.4 (1)(a) of the circular issued by the Reserve Bank of India (RBI) on January 05, 2024. The Trader confirms having underlying exposure to the currency derivative contracts they engage in through Swastika, in accordance with the said RBI directive.
4. Evidence of the Underlying Exposure: The Trader agrees to provide, upon request by Swastika, the relevant exchanges, or the RBI, sufficient evidences of the underlying exposure related to their currency derivative contracts. The Trader undertakes to furnish such evidence promptly and as may be required by the requesting party.
5. Indemnification: The Trader hereby indemnifies Swastika and holds Swastika harmless from any liabilities, losses, damages or costs that may arise in the event the Trader is unable to produce the required evidence of underlying exposure as stipulated in point 4 above. This indemnification extends to any legal actions, claims, demands or expenses incurred by Swastika as a result of the Trader's failure to comply with these conditions. By signing below, the Trader acknowledges their understanding and acceptance of these terms, and commits to adhere to the stipulations as outlined herein.

For Swastika Investmart Limited

Client's Signature

(Authorized Signatory)