



FROM THE MD'S DESK

I hope this message finds you all in good health and high spirits. As we navigate through the ever-changing landscape of our industry, I wanted to take a moment to connect with each one of you and share some thoughts on our journey together.

First and foremost, I want to express my heartfelt gratitude for your unwavering dedication and hard work. The challenges we have faced over the past year have been unparalleled, and yet, as a team, we have shown remarkable resilience and adaptability. Your commitment to our shared goals has been nothing short of inspiring.

Till now, we have successfully concluded 25 IPOs, with an additional 7 IPOs currently in progress. Our ability to achieve this milestone is a testament to our unwavering commitment to our core values: 'We are a Trustworthy and Truthful Tribe', 'We are Determined to Honour our Commitments.' These guiding principles have not only earned the trust and confidence of our clients but also have the potential to propel us to even greater achievements.

If we determine that the promoter is not adhering to our principles, we candidly decline their proposal.

We do not simply engage in fundraising for promoters without purpose; rather, we provide 360-degree business growth, consulting and ethical support that encompasses:

- Human Resources Consulting
- CFO Services
- Strategic Technological Guidance
- Mergers & Acquisitions
- Cost-effective strategies for maximizing Return on Investment (ROI).

Our Client First approach is designed to elevate their businesses to the next level, ensuring not only financial success but also sustainable growth.

In closing, I want to express my confidence in our team's ability to overcome any obstacle and seize every opportunity that comes our way. Together, we will continue to write the success story of Swastika Investmart Ltd.

Thank you for your hard work, dedication, and unwavering commitment to our shared vision.


Sunil Nyati



EDITORIAL

Dear Readers,

As we enter the fourth edition of *Josh*, I am reminded of the power of change. When we first started this magazine, we had a vision of creating a space for Swastika team members to share their stories, ideas, and experiences. We wanted to create a platform where people could feel heard and seen, and where they could connect with each other in meaningful ways.

Over the past three editions, we have seen that vision come to life. We have published stories from team members from all levels of the organization, from interns to management. We have covered a wide range of topics, from personal experiences to professional development. And we have created a community where people feel comfortable sharing their thoughts and ideas.

"*Josh*", the heartbeat of Swastika, encapsulates our organization's spirit, dedication, and unwavering commitment to empowering our clients with knowledge and insights. This edition is particularly special as it mirrors the resilience and innovation that have become synonymous with our brand. In a world characterized by rapid change and unexpected challenges, Swastika stands steadfast, ready to face any adversity head-on.

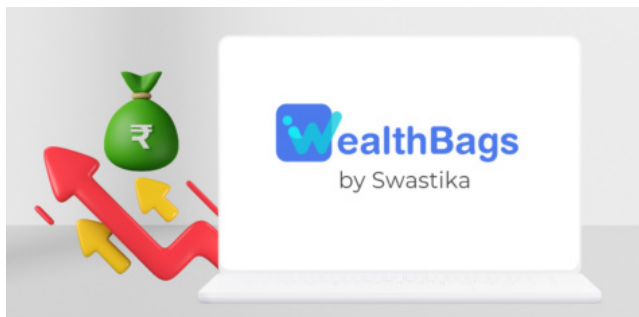
We hope that this issue of *Josh* inspires you to collaborate with others and make a difference in the world. Together, we can achieve great things.

Happy Reading!

Sagar.



BUSINESS UPDATES



LAUNCH OF THE WEALTH PRODUCT "WEALTHBAG"

A noteworthy accomplishment for Swastika is the introduction of the "WealthBag". For clients, it represents a fresh and creative approach to investing their money and increasing their wealth.

A product for diverse investing is the Wealth Bag. The introduction of the WealthBag also demonstrates our business' dedication to innovation and its focus on offering the greatest investment services and products to its customers.



THE JOINING OF CO-CTO, MR. DEVASHISH NYATI

An important development is the appointment of Mr. Devashish Nyati as CO-CTO at Swastika. Mr. Nyati formerly worked with Google and Oracle in the USA. It is testimony that shows the company's growth. His joining represents a major coup for Swastika. It will aid the organization in accelerating its innovation and growth. Additionally, it will also help the company attract and keep top talent.



SUCCESSFUL IPOs OF SAROJA PHARMA AND SERVICE CARE

A significant accomplishment for our investment banking business was the successful IPOs of Service Care and Saroja Pharma. It reflects the proficiency of our team in assisting firms to go public and raise the money required to expand their operations.



THE JOINING OF VICE PRESIDENT-B2B, MR. PRAVEEN BHASKAR CHOUDHARY

The most recent development for the company is the addition of Mr. Praveen Bhaskar Choudhary, Vice President, of B2B Business and Distribution, who has 18+ years of broad expertise with companies like Religare and Geojit. Praveen is in charge of creating and implementing strategies to increase the company's sales and market share in the B2B vertical.

PROMOTIONS



Shivani Nyati
VP,
Wealth Product



Aayush Agrawal
VP,
Investment Banking



Madhu Goyal
AGM,
Operations & Back Office



Mohit Goyal
Compliance Officer,
Merchant Banking

EVENTS AT A GLANCE



Celebrating 77th Independence Day



Finalists of Carrom league



Swastika MPL



Welcoming SEBI executive director at CPAI convention



Listing ceremony of Saroja Pharma Industries India Ltd.



Successful Listing of Service Care Ltd.



10 days of Ganpati puja by teams



EXPERT INSIGHTS

HOW DO YOU STAY UP-TO-DATE WITH EMERGING TECHNOLOGIES AND INDUSTRY TRENDS?

Staying updated in the dynamic world of software and emerging technologies is a continuous journey. To keep up with the latest developments, I read blog posts, do a lot of hands-on experimentation with emerging tech, follow YouTube tech influencers for practical insights, and enroll in online courses.

Moreover, I actively engage in discussions with peers and network with industry experts, as I firmly believe that there's always something valuable to learn from everyone.

WHAT IS YOUR APPROACH TO SETTING AND ACHIEVING TECHNOLOGY GOALS ALIGNED WITH BUSINESS OBJECTIVES?

I believe in working closely with our leadership team to understand our business goals inside and out. This helps us make sure that our technology plans fit perfectly with what the business wants to achieve. Besides adding new and exciting features, I also make sure to tackle any technical debts we may have. This keeps our technology strong and ready to support our business as it grows.

HOW DO YOU FOSTER INNOVATION WITHIN YOUR TECHNOLOGY TEAM?

Fostering innovation within our tech team is all about creating the right environment. Our team is incredibly dedicated and eager to learn. To encourage innovation, we've established an innovation forum where we openly discuss fresh ideas. We've also introduced knowledge-sharing sessions, where our in-house experts share their expertise. I strongly believe in the power of peer learning, and we've nurtured an environment that encourages it.

WHAT ROLE DO YOU BELIEVE ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING CAN PLAY IN OUR INDUSTRY OR ORGANIZATION?

AI and ML have transformative potential within the fintech industry. Beyond fraud detection and process streamlining, they can revolutionize how we interact with customers. These technologies can help us make data-driven decisions, offer tailored financial services, predict customer patterns, and automate routine tasks, ultimately leading to improved efficiency, risk management, and a competitive edge in the rapidly evolving financial landscape.

WHAT DO YOU BELIEVE ARE THE BIGGEST CHALLENGES AND OPPORTUNITIES IN THE TECHNOLOGY LANDSCAPE TODAY?

In today's technology landscape, we encounter both significant challenges and exciting opportunities. One of the biggest challenges is cybersecurity, especially in industries like finance where data protection is paramount. However, on the flip side, there are vast opportunities. Leveraging emerging technologies like AI, ML, and cloud computing can drive innovation, improve efficiency, and provide better services to our customers. Additionally, by addressing the challenge of cybersecurity head-on, we can build trust and confidence in our digital solutions, opening doors for growth and new possibilities in a rapidly changing tech world.



Devashish Nyati

Co-CTO (Chief Technology Officer)

MANN KI BAAT



Mr. Amit Pamnani

DGM - Merchant Banking

I am gratified to have spent a successful and best-performing couple of years of my life in Swastika. In this short span, I got a chance to achieve new milestones under the mentorship of the able leadership of Swastika management. I learned a lot in Swastika and in my 16 years of experience in all my previous organizations. In Mann Ki Baat, I would like to share the learning that was commonly adopted by me in all the organizations i.e. Art of Decision-making. 'As per research, it's estimated that the average adult makes about 35,000 remotely conscious decisions each day.'

In Jainism, there is a Theory of Anekantavada, together it connotes "not one-ended, sided", "many-sidedness", or "manifoldness", which means an entity or subject has infinite characteristics. If a decision maker makes any judgment or decision considering only one side of the subject then there is a high probability of it getting wrong. For taking a written decision one needs to consider the subject matter from all angles or 360-degree angle or from all the stakeholders' perspectives of those who will be affected by that decision. Then there is a high probability that the decision will be in the mutual interest of all stakeholders and shall be taken in a positive spirit. Any business and service is all about making many decisions daily, hence right decision will bring success in our future endeavours. All the best!

I have completed my beautiful 19 years with Swastika. It was a fantastic journey with lots of learning from colleagues and management. As I have been working for years, I have seen how strong our roots are resulting in exponential growth.

Working in a great and healthy environment is the main reason to balance personal and professional life for long-term career growth.

A solid team of professionals in all departments and a high-tech technology team pushing us to lead in all formats.



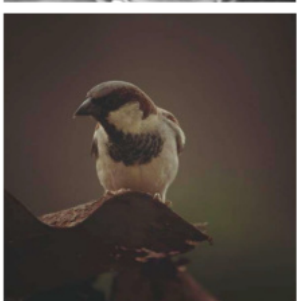
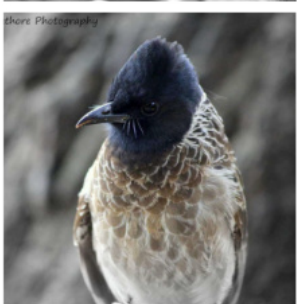
Mr. Saurabh Nuwal

AVP - Marketing

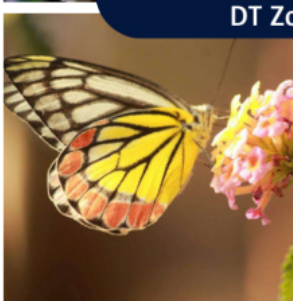
CREATIVE CORNER



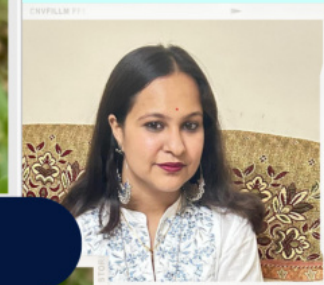
Street
Photography



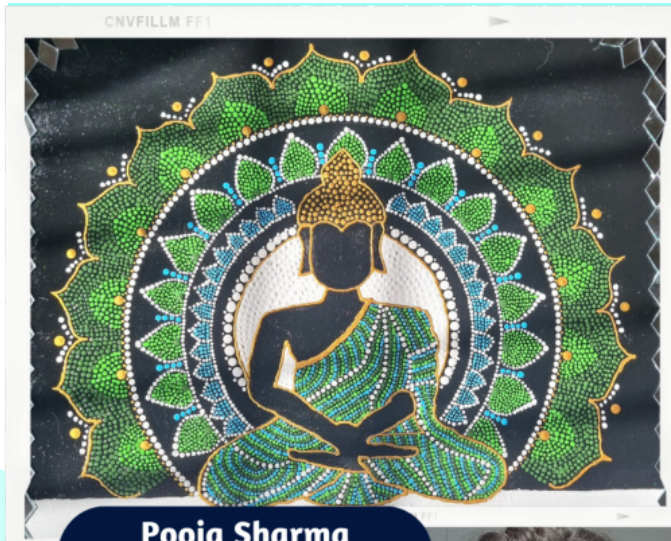
Suresh Rathore
DT Zone



Nikita Solanki
Head Office



CREATIVE CORNER



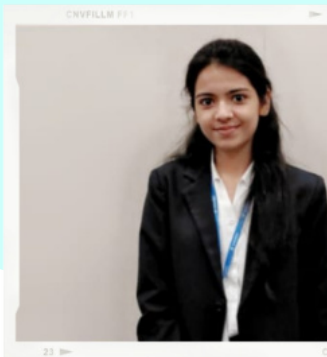
Pooja Sharma
DT Zone



Roshni Soni
DT Zone



Kratika Joshi
Invesment Banking



CREATIVE CORNER

Lessons we can learn from the lord Ganesha

It is that time of the year when Lord Ganesha comes to bless our homes with peace, happiness and prosperity. He is the first worship god, any prayer starts with his prayer, and then other gods are worshiped. There are many leadership and management lessons we can learn from the lord Ganesha who is symbol of wealth creation and financial wellness. From the body structure and his actions mentioned in mythological books we can learn 10 management traits and success mantras.

1. *Honesty & integrity*
2. *Keep Yourself Balanced, Simple & Humble*
3. *Listen more & Speak less*
4. *Stay focused and avoid unnecessary conflicts*
5. *Be confident on who you are and how you look*
6. *Broad vision and clarity of your mission & goal*
7. *Patience & strong communication skills*
8. *Never underestimate your mouse like (little) resources*
9. *Think big with your head (brain) symbolizes knowledge, wisdom, intelligence*
10. *Never be scared to fight for right*

The good Lord Ganesha is also called the vighnaharta or the remover of all problems. So, if you have been having troubles managing your profession & finances in order, this is a great time of the year to begin rethinking on above mantras with the blessing of the Lord.

Om Ganeshay Namah!

Seasons greetings !

Kratika Joshi & Amit Pamnani



वो महफिल

वो महफिल मेरी नई सरहद थी.. मेरा चुनाव तो नहीं पर जरूरत थी। पता पूछा था चेहरो से महफिल का.. किसी ने पता दे दिया अंजानी महफिल का। अब उस अंजानी, बेगानो की महफिल में.. अपने लिए कुछ रंग ढूँढ़ने जाना है। उस महफिल में शोर संगीत सा है.. उनके लिए, जो डूब चुके उस महफिल में। उस शोर से संगीत मे, अजनबियो की भीड मे.. अपने अंदर सन्नाटे की कौंध हम सुन रहे। चाहे पसंद, चुनाव, जरूरत हो या मजबूरी.. यहा हर कोई अपनी कहानी सुनाने को बेताब है। पर ये महफिल है शोर के संगीत की .. यहा दूसरो के दर्द की किसे पड़ी। ये वो महफिल नहीं जो ख्वाब मेरा है.. पर भी एक सराय जेसे ठहरना ही है। जो थकान है, हौंसले पस्त सफर में हौंसले बड़ा, थकान को मिटा, इस महफिल से उस महफिल का सफर तय कर जाना है।

-Neha Vaishnav
Tradingo



AND THE HAPPINESS CONTINUES...

JOSH

by
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