

Investor Grievances

Dear Investor,

In case of any grievance / complaint against:

Swastika Investmart Limited

SEBI Regn No.: INP000008181 (Sebi Registered Portfolio Manager)

Investor Grievance E-Mail ID: popms@swastika.co.in

Note: - Investors are requested not to send emails of general queries on the said email ID

Swastika Investmart Limited shall take genuine investor grievances seriously and shall resolve them with the best possible efforts and in a timely manner.

Please contact the Compliance Officer

Name	Ms. Dimple Soni
Address	48, Jaora Compound, Near M.Y Hospital, Indore (452001) M.P.
Telephone	0731 – 6644244
Email	Compliance@Swastika.co.in

You may also approach the Principal Officer:

Name	Mr. Aayush Agrawal
Address	48, Jaora Compound, Near M.Y Hospital, Indore (452001) M.P.
Telephone	0731 – 6644244

Email	Aayush.agrawal@Swastika.co.in
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In the event the Investor is not satisfied with the resolution provided by the Customer Services Team or the Director in charge of Customer Services Team, the Investor can contact Principal Officer on Aayush.agrawal@Swastika.co.in or Tel – 0731 – 6644244

**For any queries, feedback or assistance, please contact SEBI Office on:
Toll Free Helpline at 1800 22 7575 / 1800 266 7575.**

Filing Complaints on SCORES – Easy & Quick:

- **Register on SCORES Portal**
- **Mandatory Details for filing complaints on SCORES
Name, PAN, Address, Mobile Number, E-Mail ID**
- **Benefits
Effective Communication Speedy redressal of the grievances**

Corresponding SEBI regional/local office address

Securities & Exchange Board of India
(‘SEBI’), SEBI Bhavan, Plot No. C4-A “G”
Block, Bandra Kurla Complex, Mumbai

In case, the investor does not get a response from the Portfolio Manager or is not satisfied with the response provided by the Portfolio Manager, they may approach SEBI to address complaints against the Portfolio Managers, registered with it. The complaint has to be filed in SEBI Complaints Redress System (SCORES) at <https://scores.sebi.gov.in/scores-home>

Alternatively, if the investor has exhausted all the aforementioned options for resolution and is still not satisfied, they can initiate dispute resolution through the Online Dispute Resolution Portal (ODR).

The investor can directly initiate dispute resolution through the ODR Portal if the grievance lodged with the Portfolio Manager is not satisfactorily resolved or at any stage of the subsequent escalations mentioned above. The dispute resolution through the ODR Portal can be initiated when the complaint/dispute is not under consideration in SCORES guidelines or not pending before any arbitral process, court, tribunal or consumer forum or are non-arbitrable in terms of Indian law.

Online Dispute Resolution Common Portal named SMART ODR is now live and can be accessed through the URL: <https://smartodr.in/login>