SWASTIKA INVESTMART LIMITED

Annexure – B

Investor's Complaints Data by Research Analyst

Data for the month ending – October-26

Sr. No.	Received from	Pending at the end of last month	Received	Resolved *	Total Pending #	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL	NIL
3	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL	NIL	NIL

Number of complaints received during month against the RA due to impersonation by some other entity

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint, in days, in the current month divided by total number of complaints resolved in the current month.

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	Jan-2026	NIL	NIL	NIL	NIL
2	Feb-2026	NIL	NIL	NIL	NIL
3	Mar- 2026	NIL	NIL	NIL	NIL
4	Apr- 2026	NIL	NIL	NIL	NIL
5	May-2026	NIL	NIL	NIL	NIL
6	June-2026	NIL	NIL	NIL	NIL
7	July-2026	NIL	NIL	NIL	NIL
8	Aug-2026	NIL	NIL	NIL	NIL
9	Sep-26	NIL	NIL	NIL	NIL
10	Oct-26	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Sr. No.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2025-26	NIL	NIL	NIL	NIL
2	2026-27	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL