SWASTIKA INVESTMART LIMITED

ANNEXURE- 'B'

Investor's Complaints Data by Investment Advisers

Data for every month ending:(Oct,2026)

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NA	NA	NA
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NA	NA	NA
3	Stock Exchanges	NIL	NIL	NIL	NIL	NA	NA	NA
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NA	NA	NA
	Grand Total	NIL	NIL	NIL	NIL	NA	NA	NA

Number of complaints received during month against the IA due to impersonation by some other entity:

Note: In case of any complaints received against the IA due to impersonation of the IA by some other entity, the IA may adjust the number of such complaints from total number of received/resolved complaints while preparing the above table. Further, IA must close such impersonation related complaints after following the due process as specified by SEBI/IAASB.*

Inclusive of complaints of previous months resolved in the current month. #
Inclusive of complaints pending as on the last day of the month. ^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

SN	Month	Carried Forward from previous month	Received	Resolved	Pending
1	June-25	NIL	NIL	NIL	NIL
2	July-25	NIL	NIL	NIL	NIL
3	Aug-25	NIL	NIL	NIL	NIL
4	Sep-25	NIL	NIL	NIL	NIL
5	Oct-25	NIL	NIL	NIL	NIL
6	Nov-25	NIL	NIL	NIL	NIL
7	Dec-25	NIL	NIL	NIL	NIL
8	Jan-26	NIL	NIL	NIL	NIL
9	Feb-26	NIL	NIL	NIL	NIL
10	Mar-26	NIL	NIL	NIL	NIL
11	Apr-26	NIL	NIL	NIL	NIL
12	May-26	NIL	NIL	NIL	NIL
13	June-26	NIL	NIL	NIL	NIL
14	July-26	NIL	NIL	NIL	NIL
15	Aug-26	NIL	NIL	NIL	NIL
16	Sep-26	NIL	NIL	NIL	NIL
17	Oct-26	NIL	NIL	NIL	NIL

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	NIL	NIL	NIL	NIL
2	2022-23	NIL	NIL	NIL	NIL
3	2023-24	NIL	NIL	NIL	NIL
4	2024-25	NIL	NIL	NIL	NIL
5	2025-26	NIL	NIL	NIL	NIL
6	2026-27	NIL	NIL	NIL	NIL

Inclusive of complaints of previous years resolved in the current year. ##Inclusive of complaints pending as on the last day of the year.