

18th April, 2018

To,
The Company Secretary,
The Bombay Stock Exchange Ltd.,
Phiroze Jeejeebhoy Towers,
Dalal Street,
MUMBAI-400 001

Dear Sir,

Sub.: Certificate under Regulation 40 (9) of SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 for the half year ended on 31/03/2018.

Company Code: 530585.

With reference to the above, we are enclosing herewith a Certificate from Practicing Company Secretary for the half year ended on **31st March, 2018**, pursuant to the provisions of Regulation 40 (9) of SEBI Listing Obligation and Disclosure Requirements) Regulation, 2015 as amended time to time.

This is for your information and record.

Thanking you.

Yours Faithfully,
For **SWASTIKA INVESTMART LTD**


CS Shikha Bansal
Company Secretary and Compliance Officer
M. No.- A36520



Swastika Investmart Limited

Group : Member of NSE, BSE, MSEI, NCDEX, MCX & ICEX, DP : CDSL & NSDL

Corp. Off.: 48, Jaora Compound, M.Y.H. Road., Indore-452 001. Ph. : 0731-6644000, 3345000 Fax : 6644300
Regd. Off. : 305, Madhuban Building, Cochin Street, Fort, Mumbai -400 001. Ph. : 022-22655565, 22654113-14

Email : info@swastika.co.in. Web : www.swastika.co.in

CIN : L 65910 MH 1992 PLC 067052

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS
2015 FOR THE HALF YEAR ENDED 31st MARCH, 2018**

I have examined all Share Transfer form, Memorandum of Transfers, Registers and other documents relating to **SWASTIKA INVESTMART LIMITED** having registered office at 305, Madhuban Building, Cochin Street S.B.S Road, Fort Mumbai 400001 (M.H.) maintained by Share transfer Agent, **ANKIT CONSULTANCY PRIVATE LIMITED**, having office at 60, Electronic Complex, Pardeshi Pura Indore (M.P.) pertaining to share transfer of the company for the period from 1st October, 2017 to 31st March, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 and based on such examination as well as information and explanation furnished to me by its Registrar and Share Transfer Agent, which to the best of my knowledge and belief were necessary for the purposes of the certification, I hereby certify that there was no transfer/ transmission/ consolidation /subdivision/ split/duplicate certificate was made during the half year ended on 31st March, 2018.

Any other information, if applicable: Not Applicable.

Place: Indore
Date: 17th April, 2018

L.N.JOSHI & CO.
Company Secretaries

L.N. Joshi
L.N. Joshi
Proprietor
CP No. 4216

