

16th April, 2021

To,
The Secretary,
Corporate Relationship Department,
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai-400001

Sub:- Certificate under Regulation 40 (9) of SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015 for the half year ended on 31/03/2021.

Company Code: 530585.

With reference to the above, we are enclosing herewith a Certificate from Practicing Company Secretary for the half year ended on 31st March, 2021 pursuant to the provisions of Regulation 40 (9) of SEBI Listing Obligation and Disclosure Requirements) Regulation, 2015 as amended time to time.

This is for your information and record.

Thanking you.

Yours Faithfully,

FOR SWASTIKA INVESTMART LTD



Shikha Bansal
Company Secretary & Compliance Officer
M. No. A36520

Swastika Investmart Limited

Corp. Off. : 48 Jaora Compound, M.Y.H. Road, Indore-452001 ☎ 0731-6644000, 3345000

Regd. Off. : Flat No. 18, North Wing, Madhaveswar Co-op. Hsg. Society, S.V. Road, Andheri (W), Mumbai-400058 ☎ 022-26254568-69

✉ info@swastika.co.in 🌐 www.swastika.co.in CIN : L 65910 MH 1992 PLC 067052

Swastika Group : Member of NSE, BSE, NCDEX, MCX, MSEI DP : NSDL & CDSL



L N JOSHI & CO.

PRACTISING COMPANY SECRETARY

122, Krishna Business Centre, 11, P.U. 4, Next to Medanta Hospital,
Rasoma Square, Indore - 452010 (M.P.) | Ph.: (0731) 4266708, 4959087

L N Joshi

M. Com., LL.B. (Hons), FCS
Insolvency Professional

Cell

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E-mail

lnjoshics@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS
2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2021**

I have examined all documents, Registers relating to **SWASTIKA INVESTMART LIMITED** having registered office at Flat No.18, 2nd Floor, North Wing, Madhaveswar Co-op-Hsg Society Ltd., Madhav Nagar, 11/12, S.V. Road, Andheri W, Mumbai, Maharashtra-4000058 maintained by Share transfer Agent, **ANKIT CONSULTANCY PRIVATE LIMITED**, having office at 60, Electronic Complex, Pardeshi Pura Indore (M.P.) relating to transfer and other requests received by the Company pertaining to certificate/s of equity shares, for the period from 1st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on such examination as well as information and explanation furnished to me by its Registrar and Share Transfer Agent, which to the best of my knowledge and belief were necessary for the purposes of the certification, I hereby certify that the Company has delivered following during the half year ended on 31st March, 2021:

- A) Share Certificate(s) relating to the Share Transmission received during the period from **1st October, 2020 to 31st March, 2021** as entered in the Memorandum of Transmission have been effected within Fifteen Days and that the certificates have been issued within thirty Days of the date of lodgement for transmission. Further there was no transfer/subdivision/consolidation/split/renewal/exchange or endorsement of calls/allotment monies during the period ended on 31st March, 2021, hence the company was not required to issue any certificate.
- B) Any other information, if applicable: Not applicable.

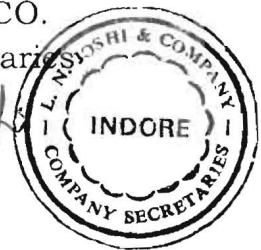
Date: 15th April, 2021
Place: Indore

L.N.JOSHI & CO.
Company Secretary

L.N. Joshi

L.N. Joshi
Proprietor

CP No. 4216



UDIN:F005201C000101011