

General information about company	
Scrip code	530585
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE691C01022
Name of the entity	SWASTIKA INVESTMART LIMITED
Date of start of financial year	01-04-2026
Date of end of financial year	31-03-2027
Reporting Quarter Type	Quarterly
Date of Quarter Ending	30-06-2026
Type of company	Equity
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	Yes
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	Yes
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other
Is SCORE ID Available ?	Yes
SCORE Registration ID	S00705
Reason For No SCORE ID	
Type of Submission	Original
Remarks (website dissemination)	Add Notes
Remarks for Exchange (not for Website Dissemination)	Add Notes

Annexure I																										
Annexure I to be submitted by listed entity on quarterly basis																										
I. Composition of Board of Directors																										
Disclosure of notes on composition of board of directors explanatory						Add																				
Whether the listed entity has a Regular Chairperson						Yes																				
Is there any change in information of board of directors compare to previous quarter																										
Whether Chairperson is related to MD or CEO						Yes	Disqualification of Directors under section 164																			
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
Add		Delete																								
1	Mr	SUNIL NYATI	AAYPN3522A	00015963	Executive Director	Chairperson related to Promoter	MD	02-02-1962	No				Active	NA		03-06-1992				1	0	1	0			
2	Mrs	ANITA NYATI	AARPN6932H	01454595	Executive Director	Not Applicable		07-12-1965	No				Active	NA		20-08-2008				1	0	0	0			
3	Mr	PARTH NYATI	AGCPN6255G	02583324	Executive Director	Not Applicable	CEO	18-09-1989	No				Active	NA		06-06-2024				1	0	0	0			
4	Mr	CHANDRASHEKHAR BOBRA	ABRPB4875E	00209498	Non-Executive - Independent Director	Not Applicable		18-12-1957	No				Active	NA		01-08-2017	01-08-2022		107.00	2	2	3	3			
5	Mr	TARUN KUMAR BALDUA	ABAPB9375L	02212645	Non-Executive - Independent Director	Not Applicable		18-12-1962	No				Active	NA		20-07-2023	20-07-2023		35.12	1	1	2	0			
6	Mr	ANSHUL AGRAWAL	AJKPA8692B	08058452	Non-Executive - Independent Director	Not Applicable		28-02-1987	No				Active	NA		20-07-2023	20-07-2023		35.12	1	1	1	0			
7	Mr	GYAN CHAND JAIN	ABSPJ6930B	05124184	Non-Executive - Independent Director	Not Applicable		02-10-1959	No				Active	NA		06-06-2024	06-06-2024		24.25	1	1	1	0			

Annexure 1						For this quarter kindly note the following points: 1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee. 2. Date of Appointment can be any day upto September 30, 2022. 3. Date of Cessation must be for the current quarter only, i.e. July 1, 2022 to September 30, 2022		
II. Composition of Committees								
Disclosure of notes on composition of committees explanatory					Add Notes			
Is there any change in information of committees compare to previous quarter					Yes			
Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be prefilled automatically								
Audit Committee Details								
Whether the Audit Committee has a Regular Chairperson					Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation		
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017			
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024			
3	05124184	GYAN CHAND JAIN	Non-Executive - Independent Director	Member	20-07-2024			
4	08058452	ANSHUL AGRAWAL	Non-Executive - Independent Director	Member	20-07-2024			
Nomination and remuneration committee								
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation		
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017			
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024			
3	05124184	GYAN CHAND JAIN	Non-Executive - Independent Director	Member	20-07-2024			
Stakeholders Relationship Committee								
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation		
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017			
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024			
3	00015963	SUNIL NYATI	Executive Director	Member	20-07-2024			

Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory				Add Notes				
Sr. No.	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1		22-01-2026			Yes	7	6	3
2		02-05-2026	99		Yes	7	6	3

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory							Add Notes			
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	22-01-2026				Yes	4	3	3	0
2	Audit Committee	02-05-2026	99			Yes	4	3	3	0
3	Nomination and remuneration committee	22-01-2026				Yes	3	3	3	0
4	Nomination and remuneration committee	02-05-2026	99			Yes	3	3	3	0

Annexure 1		
V. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Shikha Agrawal
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security		No
Other details of cyber security incidence or breaches or loss of data event		Add Notes
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details	
Name of signatory	Shikha Agrawal
Designation of person	Company Secretary and Compliance Officer
Place	Indore
Date	27-07-2026

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	4
No. of investor complaints disposed off during the Quarter	4
No. of investor complaints those remaining unresolved at the end of the Quarter	0

Disclosure of Imposition of Fine or Penalty					
Any Other Information for Disclosure of Imposition of Fine or Penalty					Add Notes
Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
AddDelete					
1	NSE Clearing	Penalty of Rs. 45,384.21	23-04-2026	Penalty levied for wrong allocation of client's collateral to Clearing Member	There is no impact on the financials, operations, or other activities of the Company
2	NSE	Penalty of Rs. 800	30-06-2026	Penalty for Non Uploading of CTCL details on exchange portals	There is no impact on the financials, operations, or other activities of the Company
3	NSE	Penalty of Rs. 89.25	17-04-2026	Penalty for non submission of Segregation Data for a client during the month of March 2026	There is no impact on the financials, operations, or other activities of the Company
4	NSE	Penalty of Rs. 5,000	19-05-2026	Penalty for non-participation in the scrip as Market Maker in SME Segment	There is no impact on the financials, operations, or other activities of the Company
5	NSE	Penalty of Rs. 5,000	17-06-2026	Penalty for non-participation in the scrip as Market Maker in SME Segment	There is no impact on the financials, operations, or other activities of the Company
6	CDSL	Penalty of Rs. 400	30-06-2026	Penalty for non uploading of 2 scanned Delivery Instruction Slip (DIS) within prescribed time limit for May 2026	There is no impact on the financials, operations, or other activities of the Company
7	NSE, MCX and NCDEX	Penalty of Rs. 77094.06	30-06-2026	Penalty levied for short allocation of client collateral against Margin requirement	There is no impact on the financials, operations, or other activities of the Company

Disclosure of Updates to Ongoing Tax Litigations or Disputes				
Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes				Add Notes
Sr. No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
AddDelete				
1	Appeal filed and pending with the Commissioner of Income-tax (Appeals) with respect to FY 2017-18 for Rs. 55.14 lakhs for disallowance on account of effect due to adoption of IND AS, routine stock exchange penalty and excess provision written back	22-02-2022	In Appeal before Hon'ble CIT(A).	Matter is pending before Hon'ble CIT(A).
2	Appeal filed and pending with the Commissioner of Income-tax (Appeals) with respect to FY 2014-15 for Rs. 50.50 lakhs for assessment U/s 156 of the Income Tax Act, 1961.	29-12-2017	In Appeal before Hon'ble CIT(A) for order U/s 156	Matter is pending before Hon'ble CIT(A)
3	Appeal filed and pending with Joint Commissioner CGST (Appeals), Indore, for FY 2018-19 for Rs. 58.16 lakhs for the issue related to alleged excess Input Tax Credit Claim	26-12-2023	Hon'ble JC CGST (A) issued an order on 30.04.2025 setting aside the appeal. Currently, the Company is in process to File Appeal Before Goods and Service Tax Appellate Tribunal (GSTAT) within 03 months from the date of order or date on which the President or the State President, as the case may be, of the Appellate Tribunal enters office, whichever is later.	Hon'ble JC CGST (A) issued an order on 30.04.2025 setting aside the appeal. Currently, The Company has Filed Appeal Before Goods and Service Tax Appellate Tribunal (GSTAT) on 01.07.2026