

General information about company	
Scrip code	530585
NSE Symbol	NOTLISTED
MSEI Symbol	NOTLISTED
ISIN	INE691C01022
Name of the entity	SWASTIKA INVESTMART LIMITED
Date of start of financial year	01-04-2025
Date of end of financial year	31-03-2026
Reporting Quarter Type	Half Yearly
Date of Quarter Ending	30-09-2025
Type of company	Equity
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	Yes
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	Yes
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other
Is SCORE ID Available ?	Yes
SCORE Registration ID	S00705
Reason For No SCORE ID	
Type of Submission	Original
Remarks (website dissemination)	Add Notes
Remarks for Exchange (not for Website Dissemination)	Add Notes

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory		Add																									
Whether the listed entity has a Regular Chairperson		Yes																									
Change in information of board of directors compare to previous quarter		Yes																									
Whether Chairperson is related to MD or CEO		Yes																									
Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Disqualification of Directors under section					Current status	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director or (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
									Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification															
1	Mr	SUNIL NYATI	AAYPN3522A	00015963	Executive Director	Chairperson	MD	02-02-1962	No					Active	NA	03-06-1992					1	0	1	0			
2	Mrs	ANITA NYATI	AARPN6932H	01454595	Executive Director	Not Applicable		07-12-1965	No					Active	NA	20-08-2008					1	0	0	0			
3	Mr	PARTH NYATI	AGCPN6255G	02583324	Executive Director	Not Applicable	CEO	18-09-1989	No					Active	NA	06-06-2024					1	0	0	0			
4	Mr	CHANDRASHEKHAR BOBRA	ABRPB4875E	00209498	Non-Executive-Independent Director	Not Applicable		18-12-1957	No					Active	NA	01-08-2017	08-2022		98.00		2	2	3	3			
5	Mr	TARUN KUMAR BALDUA	ABAPB9375L	02212645	Non-Executive-Independent Director	Not Applicable		18-12-1962	No					Active	NA	20-07-2023	07-2023		26.12		1	1	2	0			
6	Mr	ANSHUL AGRAWAL	AJKPA8692B	08058452	Non-Executive-Independent Director	Not Applicable		28-02-1987	No					Active	NA	20-07-2023	07-2023		26.12		1	1	1	0			
7	Mr	GYAN CHAND JAIN	ABSPJ6930B	05124184	Non-Executive-Independent Director	Not Applicable		02-10-1959	No					Active	NA	06-06-2024	06-2024		15.25		1	1	1	0			

Annexure 1	
II. Composition of Committees	
Disclosure of notes on composition of committees explanatory	Add Notes
Is there any change in information of committees compare to previous quarter	Yes

For this quarter kindly note the following points:
1. Date of Appointment and Date of Cessation (if applicable) must be mandatorily filled for every Committee.
2. Date of Appointment can be any day upto September 30, 2022.
3. Date of Cessation must be for the current quarter only, i.e. July 1, 2022 to September 30, 2022

Note: Please enter DIN. After entering DIN, Name of Committee members and Category 1 of Directors shall be pre-filled automatically

Audit Committee Details						
Whether the Audit Committee has a Regular Chairperson					Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017	
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024	
3	05124184	GYAN CHAND JAIN	Non-Executive - Independent Director	Member	20-07-2024	
4	08058452	ANSHUL AGRAWAL	Non-Executive - Independent Director	Member	20-07-2024	

Nomination and remuneration committee						
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017	
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024	
3	05124184	GYAN CHAND JAIN	Non-Executive - Independent Director	Member	20-07-2024	

Stakeholders Relationship Committee						
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation
1	00209498	CHANDRASHEKHAR BOBRA	Non-Executive - Independent Director	Chairperson	01-08-2017	
2	02212645	TARUN KUMAR BALDUA	Non-Executive - Independent Director	Member	01-04-2024	
3	00015963	SUNIL NYATI	Executive Director	Member	20-07-2024	

Annexure 1		
V. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Add Notes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Shikha Agrawal
2	Designation	Company Secretary and Compliance Officer

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Other details of cyber security incidence or breaches or loss of data event		
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Affirmations on Compliance Requirements for AGM (applicable only for the first half-year filing i.e., 2nd quarter)

I. Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, BRSR & BRSR core, if applicable, displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Disclosure of the Secretarial Audit Report of the listed entity and the material subsidiaries in the Annual Report	24A(1)	Yes	
6	Compliance with the conditions laid down for Secretarial Auditor or the person signing the Secretarial Compliance Report	24A(1A), 24A(1B), 24A(1C)	Yes	
7	Submission of Annual Secretarial Compliance Report	24A(2)	Yes	
8	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				Add Notes

Additional Half yearly Disclosure			
Any Other Information for Disclosure of Loans / Guarantees / Comfort Letters / Securities Etc.		Add Notes	
I. Disclosure of Loans/ guarantees/comfort letters /securities etc. Refer note below The Figure should be mentioned in Actual INR only			
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0.00	0.00	
Promoter Group or any other entity controlled by them	0.00	0.00	
Directors (including relatives) or any other entity controlled by them	0.00	0.00	
KMPs or any other entity controlled by them	0.00	0.00	
(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By			
Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	Nil	0.00	0.00
Promoter Group or any other entity controlled by them	Nil	0.00	0.00
Directors (including relatives) or any other entity controlled by them	Nil	0.00	0.00
KMPs or any other entity controlled by them	Nil	0.00	0.00
(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by			
Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	Nil	0.00	0.00
Promoter Group or any other entity controlled by them	Nil	0.00	0.00
Directors (including relatives) or any other entity controlled by them	Nil	0.00	0.00
KMPs or any other entity controlled by them	Nil	0.00	0.00
(D) Additional Information		Add Notes	
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or		Yes	
Name	PARTH NYATI	Add Notes	
Designation	CEO		
Place	INDORE		
Date	29-10-2025		

Signatory Details	
Name of signatory	Shikha Agrawal
Designation of person	Company Secretary and Compliance Officer
Place	Indore
Date	29-10-2025

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	0
No. of investor complaints disposed off during the Quarter	0
No. of investor complaints those remaining unresolved at the end of the Quarter	0

Disclosure of Imposition of Fine or Penalty					
Any Other Information for Disclosure of Imposition of Fine or Penalty					Add Notes
Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
<input type="button" value="Add"/> <input type="button" value="Delete"/>					
1	NSE	Penalty of Rs. 2200	18-07-2025	Penalty debited for incorrect reporting of margin collection from clients during limited purpose inspection conducted during January 2025.	There is no impact on the financials, operations, or other activities of the company.
2	MCX	Penalty of Rs. 26500	17-07-2025	Penalty levied for observation marked during Pune branch insection conducted by MCX.	There is no impact on the financials, operations, or other activities of the company.
3	NSE	Penalty of Rs. 5000	16-07-2025	Penalty levied for non reporting of opening of Bank accounts to the the exchange within stiputed time period	There is no impact on the financials, operations, or other activities of the company.
4	NCDEX, MCX and NSE	Penalty of Rs. 11013.42	31-07-2025	Penalty levied for short allocation against Margin requirement	There is no impact on the financials, operations, or other activities of the company.
5	NSE and MCX	Penalty of Rs. 12311.38	31-08-2025	Penalty levied for short allocation against Margin requirement	There is no impact on the financials, operations, or other activities of the company.
6	NSE, MCX and NCDEX	Penalty of Rs. 11348.35	30-09-2025	Penalty levied for short allocation against Margin requirement	There is no impact on the financials, operations, or other activities of the company.

Disclosure of Updates to Ongoing Tax Litigations or Disputes				
Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes				Add Notes
Sr. No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
	Add	Delete		
1	Appeal filed and pending with the Commissioner of Income-tax (Appeals) with respect to FY 2017-18 for Rs. 5513812/- for disallowance on account of effect due to adoption of IND AS, routine stock exchange penalty and excess provision written back	22-02-2022	In Appeal before Hon'ble CIT(A).	Matter is pending before Hon'ble CIT(A).
2	Appeal filed and pending with the Commissioner of Income-tax (Appeals) with respect to FY 2014-15 for Rs. 7814644/- for assessment U/s 143(3) and 156 of the Income Tax Act, 1961.	29-12-2017	In Appeal before Hon'ble CIT(A).	In Appeal before Hon'ble CIT(A) for order U/s 156 and in Appeal before Hon'ble Tribunal for order U/s 143(3)
3	Appeal filed and pending with Joint Commissioner CGST (Appeals), Indore, for FY 2018-19 for Rs. 5816356/- for the issue related to alleged excess Input Tax Credit Claim	26-12-2023	Hon'ble JC CGST (A) issued an order on 30.04.2025 setting aside the appeal. Currently, the Company is in process to File Appeal Before Goods and Service Tax Appellate Tribunal (GSTAT) within 03 months from the date of order or date on which the President or the State President, as the case may be, of the Appellate Tribunal enters office, whichever is later.	Hon'ble JC CGST (A) issued an order on 30.04.2025 setting aside the appeal. Currently, the Company is in process to File Appeal Before Goods and Service Tax Appellate Tribunal (GSTAT) within 03 months from the date of order or date on which the President or the State President, as the case may be, of the Appellate Tribunal enters office, whichever is later.