

21st October, 2019

To,
The Company Secretary,
The Bombay Stock Exchange Ltd.,
Phiroze Jeejeebhoy Towers,
"A" wing, Dalal Street, Fort
Mumbai-400 001

Dear Sir,

Sub.:- Submission of Reconciliation of Share Capital Audit vide Circular No. D & CC/FITTC/CIR-16/2002 dated December 31st 2002 (Amended vide Circular No. CIR/MRD/DP/30/2010 dated 06/09/2010) issued by SEBI for Quarter ended 30th September, 2019.

Company Code: 530585.

As per Directive of SEBI vide its Circular No. D & CC/FITTC/CIR-16/2002 dated December 31st 2002 (Amended vide Circular No. CIR/MRD/DP/30/2010 dated 06/09/2010) issued by SEBI, we are enclosing herewith the Reconciliation of Share Capital Audit Certificate issued by Practicing Company Secretary for the quarter ended on 30th September, 2019.

This is for your information and record.

Thanking you.

Yours Faithfully,
For **SWASTIKA INVESTMART LTD**


Mr. Sunil Nyati
Managing Director
DIN:00015963



Swastika Investmart Limited

Corp. Off. : 48 Jaora Compound, M.Y.H. Road, Indore-452001 ☎ 0731-6644000, 3345000

Regd. Off. : Flat No. 18, North Wing, Madhaveshwar Co-op. Hsg. Society, S.V. Road, Andheri (W), Mumbai-400058 ☎ 022-26254568-69

✉ info@swastika.co.in 🌐 www.swastika.co.in CIN : L 65910 MH 1992 PLC 067052

Swastika Group : Member of NSE, BSE, NCDEX, MCX, MSEI DP : NSDL & CDSL

To,
The Board of Directors
SWASTIKA INVESTMART LIMITED
Admn. Office: 48, Jaora Compound,
Indore (M.P.) 452001

CERTIFICATE OF RECONCILIATION OF SHARE CAPITAL AUDIT

We have examined all relevant Books, Registers, Forms, Documents and papers maintained by **SWASTIKA INVESTMART LIMITED** (hereinafter referred to as 'the Company') and its Registrar & Share Transfer Agent **ANKIT CONSULTANCY PRIVATE LIMITED** having office at 60, Electronic Complex, Pardeshipura, Indore-452010 M.P. for the purpose of issuing Reconciliation of Share Capital Audit certificate, in accordance with Circular No. D & CC/FITTC/CIR-16/2002 dated December 31st 2002 (Amended vide Circular No. CIR/MRD/DP/30/2010 dated 06-09-2010) issued by the Securities and Exchange Board of India for the quarter ended 30th September, 2019.

In our opinion and to the best of our knowledge and according to the information and explanations given to us and based on such verification as considered necessary, we hereby certify that:

1	For Quarter Ended	30 th September, 2019
2	ISIN	INE691C01014
3	Script Code	530585
4	Face Value	Rs. 10/- each
5	Name of the Company	SWASTIKA INVESTMART LIMITED
6	Registered Office & Telephone Number	Flat No. 18, 2nd Floor, North Wing, Madhaveswar Co-op- Hsg Society Ltd., Madhav Nagar, 11/12, S.V. Road, Andheri W, Mumbai, Maharashtra - 400058 Tel.No. 022- 26254568
7	Correspondence Address, Telephone & Fax No	48, Jaora Compound, M.Y.H. Road, Indore (M.P.) Tel. No.: 0731- 6644000/3345000 Fax No.: 0731-6644300
8	Email Address	compliance@swastika.co.in
9	Name of Stock Exchanges where the Company's securities are listed:	BOMBAY STOCK EXCHANGE LTD, MUMBAI



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SWASTIKA INVESTMART LIMITED

10	Issued Capital (in Rs.)	Number of Shares	% of Total Issued Capital
	Rs. 30054000/-	3005400	100

11	Listed Capital (Exchange wise) (As per Company's record)		
	Bombay Stock Exchange Ltd, Mumbai	3005400	100 %
12	Held in dematerialized form in CDSL	2337190	77.77 %
13	Held in dematerialized form in NSDL	558680	18.59 %
14	Physical*	63830	2.12 %
15	Total No. of Shares (12+13+14)	2959700	98.48 %

16	Reasons for difference if any, between (i) (10&11)	Nil
(ii)	Reasons for difference if any, between (10&15)	Due to 45700 equity Shares forfeited by company
(iii)	Reasons for difference if any, between (11&15)	Due to 45700 equity Shares forfeited by company

17. There is no change in share capital during the quarter ended 30th September, 2019.

18. Register of Members is updated (yes/no) **YES (Maintained by R&STA)**
if not updated up to which date

19. Reference of previous quarter with regard to excess dematerialized shares, if any, **N.A.**

20. Has the Company resolved the matter mentioned in point No.19 above in the current quarter? if not reason why. ? **N.A.**

21. All the dematerialization requests received during the quarter ended 30th September, 2019 have been duly confirmed within 21 days.

22. As on the date of this certificate, there are no cases of demate request received during the quarter ended 30th September, 2019 pending for confirmation beyond 21 days.

23. Name, Telephone & Fax No. of the Compliance officer of the Company:

Ms. Shikha Bansal, Company Secretary and compliance officer
Membership number: A36520
48, Jaora Compound, M.Y.H. Road,
Indore (M.P.)
Email: secretarial@swastika.co.in
Tel. No.: 0731- 6644000/3345000
Fax No.: 0731-6644300



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24. Name Address Tel. & Fax No. Registration No. of the Secretarial Auditor.

L.N.Joshi & Company
Company Secretaries
306, Sterling Arcade
15/3 Race Course Road,
Indore PH 0731-4266708
C.P. No. 4216 M.No.5201

25	Appointment of Common Agency for Share registry work	ANKIT CONSULTANCY PRIVATE LIMITED SEBI REG. No. INR000000767 CIN NO - U74140MP1985PTC003074 60, Electronic Complex, Pardeshipura Indore- 452010 (M.P.) Tel.:0731-4065799, 4065797 Fax:0731-4065798 Email: ankit_4321@yahoo.com Web Address:- www.ankitonline.com
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26. Any other detail that the auditor may like to provide. (e.g. BIFR Company, delisting from Stock Exchange, Company changed its name etc.)

Note:

1. *During the end of Quarter March-2010 Company had forfeited 45700 equity shares.*

Place: Indore
Date: 16th October, 2019

For L.N.Joshi & Co.
Company Secretaries


L.N.Joshi

Proprietor

Cp No 4216

UDIN : F005201A000099944



SWASTIKA INVESTMART LIMITED