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28th January, 2021

To,
The Company Secretary,
The Bombay Stock Exchange Ltd.,
Phiroze Jeejeebhoy Towers,
"A" wing, Dalal Street, Fort
Mumbai-400 001

Dear Sir,

Sub.:- **Submission of Reconciliation of Share Capital Audit vide Circular No. D & CC/FITTC/CIR-16/2002 dated December 31st 2002 (Amended vide Circular No. CIR/MRD/DP/30/2010 dated 06/09/2010) issued by SEBI for Quarter ended 31st December, 2020.**

Company Code: 530585.

As per Directive of SEBI vide its Circular No. D & CC/FITTC/CIR-16/2002 dated December 31st 2002 (Amended vide Circular No. CIR/MRD/DP/30/2010 dated 06/09/2010) issued by SEBI, we are enclosing herewith the Reconciliation of Share Capital Audit Certificate issued by Practicing Company Secretary for the quarter ended on 31st December, 2020,.

This is for your information and record.

Thanking you.

Yours Faithfully,
For **SWASTIKA INVESTMART LTD**

Sunil Nyati
Managing Director
DIN:00015963

L N JOSHI & CO.
PRACTISING COMPANY SECRETARY
122, Krishna Business Centre, 11, P.U. 4, Next to Medanta Hospital,
Rasoma Square, Indore - 452010 (M.P.) | Ph.: (0731) 4266708, 4959087

L N Joshi
M. Com., LL.B. (Hons), FCS
Insolvency Professional
Cell
+91 94250 60308
E-mail
lnjoshics@gmail.com

To,
The Board of Directors
SWASTIKA INVESTMART LIMITED
Admn. Office: 48, Jaora Compound,
Indore (M.P.) 452001

CERTIFICATE OF RECONCILIATION OF SHARE CAPITAL AUDIT

We have examined all relevant Books, Registers, Forms, Documents and papers maintained by **SWASTIKA INVESTMART LIMITED** (hereinafter referred to as 'the Company') and its Registrar & Share Transfer Agent **ANKIT CONSULTANCY PRIVATE LIMITED** having office at 60, Electronic Complex, Pardeshi Pura, Indore-452010 (M.P.) for the purpose of issuing Reconciliation of Share Capital Audit certificate, in accordance with Circular No. D & CC/FITTC/CIR-16/2002 dated December 31st 2002 (Amended vide Circular No. CIR/MRD/DP/30/2010 dated 06-09-2010) issued by the Securities and Exchange Board of India for the quarter ended 31st December, 2020.

In our opinion and to the best of our knowledge and according to the information and explanations given to us and based on such verification as considered necessary, we hereby certify that:

1	For Quarter Ended	31st December, 2020
2	ISIN	**INE691C01014**
3	Script Code	**530585**
4	Face Value	Rs. 10/- each
5	Name of the Company	**SWASTIKA INVESTMART LIMITED**
6	Registered Office & Telephone Number	Flat No. 18, 2nd Floor, North Wing, Madhaveshwar Co-op- Hsg Society Ltd., Madhav Nagar, 11/12, S.V. Road, Andheri W, Mumbai, Maharashtra - 400058 Tel.No. 022- 26254568
7	Correspondence Address, Telephone & Fax No.	48, Jaora Compound,M.Y.H. Road,Indore (M.P.) Tel. No.: 0731- 6644000 Fax No.: 0731-6644300
8	Email Address	compliance@swastika.co.in; info@swastika.co.in
9	Name of Stock Exchanges where the Company's securities are listed:	**BSE LIMITED, MUMBAI**

L.N. JOSHI & COMPANY INDORE CP-4216 Company Secretaries

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SWASTIKA INVESTMART LIMITED

10	Issued Capital (in Rs.)	Number of Shares	% of Total Issued Capital
	Rs. 29597000/-	2959700	100

11	Listed Capital (Exchange wise) (As per Company's record)		
	BSE Limited Mumbai*	2959700	100 %
12	Held in dematerialized form in CDSL	2228365	75.29 %
13	Held in dematerialized form in NSDL	671405	22.68 %
14	Physical*	59930	2.03 %
15	Total No. of Shares (12+13+14)	2959700	100.00 %

16 (i)	Reasons for difference if any, between (10&11)	Nil
(ii)	Reasons for difference if any, between (10&15)	Nil
(iii)	Reasons for difference if any, between (11&15)	Nil

17. There is no change in share capital during the quarter ended 31st December, 2020.

18. Register of Members is updated (yes/no) **YES (Maintained by R&STA)**
if not updated up to which date

19. Reference of previous quarter with regard to excess dematerialized shares, if any, **N.A.**

20. Has the Company resolved the matter mentioned in point No.19 above in the current quarter? if not reason why. ? **N.A.**

21. All the dematerialization requests received during the quarter ended 31st December, 2020 have been duly confirmed within 21 days.(No demate request received during the quarter however 400 shares were transferred to IEPF through electronic mode)

22. As on the date of this certificate, there are no cases of demate request received during the quarter ended 31st December, 2020 pending for confirmation beyond 21 days.

23. Name, Telephone & Fax No. of the Compliance officer of the Company:

Ms. Shikha Bansal, Company Secretary and Compliance Officer
Membership number: A36520
48, Jaora Compound, M.Y.H. Road,
Indore (M.P.)
Email: secretarial@swastika.co.in
Tel. No.: 0731- 6644000
Fax No.: 0731-6644300

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24. Name Address Tel. & Fax No. Registration No. of the Secretarial Auditor.

L.N.Joshi & Company
Company Secretaries
122 Krishna Business Centre
11, PU-4, Next to Medanta Hospital
Rasoma Square
Indore-452010 M.P.
PH 0731-4266708
C.P. No. 4216 M.No.5201

25	Appointment of Common Agency for Share registry work	**ANKIT CONSULTANCY PRIVATE LIMITED** SEBI REG. No. INR000000767 CIN NO - U74140MP1985PTC003074 60, Electronic Complex, Pardeshipura Indore- 452010 (M.P.) Tel.:0731-4065799, 4065797 Fax:0731-4065798 Email: compliance@ankitonline.com Web Address:- www.ankitonline.com

26. Any other detail that the auditor may like to provide. (e.g. BIFR Company, delisting from Stock Exchange, Company changed its name etc.)

NOTE:

1. ***During the end of Quarter March-2010 Company had forfeited 45700 equity shares hence listed capital has been reduced from Rs 30054000/- to Rs 29597000/-.***
2. ***During the quarter Company has dematerialized 400 equity shares for the purpose of transferring in to IEPF share suspense account, the dividend amount on above said 400 shares was unclaimed by shareholders beyond seven consecutive years and transferred to IEPF share suspense account maintained by Central Government in accordance with the provisions of section 124(6) of the Companies Act, 2013 and rules made there under.***

Place: Indore
Date: 27th January, 2021

L.N.JOSHI & COMPANY INDORE CP-4216 Company Secretaries

For L.N.Joshi & Co.
Company Secretaries

L.N.Joshi
Proprietor
CP No 4216

UDIN: F005201B002261686

SWASTIKA INVESTMART LIMITED