

12th January, 2022

To,
The Company Secretary,
The Bombay Stock Exchange Ltd.,
Phiroze Jeejeebhoy Towers,
"A" wing, Dalal Street, Fort
Mumbai-400 001

Dear Sir,

Sub.:- Submission of Reconciliation of Share Capital Audit vide Circular No. D & CC/FITTC/CIR-16/2002 dated December 31st 2002 (Amended vide Circular No. CIR/MRD/DP/30/2010 dated 06/09/2010) issued by SEBI for Quarter ended 31st December, 2021.

Company Code: 530585.

As per Directive of SEBI vide its Circular No. D & CC/FITTC/CIR-16/2002 dated December 31st 2002 (Amended vide Circular No. CIR/MRD/DP/30/2010 dated 06/09/2010) issued by SEBI, we are enclosing herewith the Reconciliation of Share Capital Audit Certificate issued by Practicing Company Secretary for the quarter ended on 31st December, 2021.

This is for your information and record.

Thanking you.

Yours Faithfully,
For **SWASTIKA INVESTMART LTD**



Shikha Bansal
Company Secretary & Compliance Officer
M.No. A36520

Swastika Investmart Limited

Corp. Off. : 48 Jaora Compound, M.Y.H. Road, Indore-452001 ☎ 0731-6644000, 3345000

Regd. Off. : Flat No. 18, North Wing, Madhaveshwar Co-op. Hsg. Society, S.V. Road, Andheri (W), Mumbai-400058 ☎ 022-26254568-69

✉ info@swastika.co.in 🌐 www.swastika.co.in CIN : L 65910 MH 1992 PLC 067052



L N JOSHI & CO.

PRACTISING COMPANY SECRETARY

122, Krishna Business Centre, 11, P.U. 4, Next to Medanta Hospital,
Rasoma Square, Indore - 452010 (M.P.) | Ph.: (0731) 4266708, 4959087

To,
The Board of Directors
SWASTIKA INVESTMART LIMITED
Admn. Office: 48, Jaora Compound,
Indore (M.P.) 452001

L N Joshi

M. Com., LL.B. (Hons), FCS
Insolvency Professional

Cell

+91 94250 60308

E-mail

Injoshics@gmail.com

CERTIFICATE OF RECONCILIATION OF SHARE CAPITAL AUDIT

We have examined all relevant Books, Registers, Forms, Documents and papers maintained by **SWASTIKA INVESTMART LIMITED** (hereinafter referred to as 'the Company') and its Registrar & Share Transfer Agent **ANKIT CONSULTANCY PRIVATE LIMITED** having office at 60, Electronic Complex, Pardeshi Pura, Indore-452010 (M.P.) for the purpose of issuing Reconciliation of Share Capital Audit certificate, in accordance with Circular No. D & CC/FITTC/CIR-16/2002 dated December 31st 2002 (Amended vide Circular No. CIR/MRD/DP/30/2010 dated 06-09-2010) issued by the Securities and Exchange Board of India for the quarter ended 31st December, 2021.

In our opinion and to the best of our knowledge and according to the information and explanations given to us and based on such verification as considered necessary, we hereby certify that:

For Quarter Ended	31 st December, 2021
ISIN	INE691C01014
Script Code	530585
Face Value	Rs. 10/- each
Name of the Company	SWASTIKA INVESTMART LIMITED
Registered Office & Telephone Number	Flat No. 18, 2 nd Floor, North Wing, Madhaveswar Co-op- Hsg Society Ltd., Madhav Nagar, 11/12, S.V. Road, Andheri W, Mumbai, Maharashtra - 400058 Tel.No. 022- 26254568
Correspondence Address, Telephone & Fax No.	48, Jaora Compound, M.Y.H. Road, Indore (M.P.) Tel. No.: 0731- 6644000 Fax No.: 0731-6644300
Email Address Website of Company	compliance@swastika.co.in; info@swastika.co.in www.swastika.co.in
Name of Stock Exchanges where the Company's securities are listed:	BSE LIMITED, MUMBAI

Cont.....2

SWASTIKA INVESTMART LIMITED



Issued Capital (in Rs.)	Number of Shares	% of Total Issued Capital
Rs. 29597000/-	2959700	100%

Listed Capital (Exchange wise) (As per Company's record)		
BSE Limited, Mumbai	2959700	100%
a) Held in dematerialized form in CDSL	2168950	73.28 %
b) Held in dematerialized form in NSDL	736120	24.87 %
c) Physical	54630	1.85 %
Total No. of Shares (a+b+c)	2959700	100.00%

Reasons for difference if any, between issued capital and listed capital	Nil
Reasons for difference if any, between issued capital and total number of shares	Nil
Reasons for difference if any, between listed capital and total number of shares	Nil

Certifying the details of changes in share capital during the quarter under consideration as per Table below :

Serial No.	Particulars	No. of shares	Applied / Not Applied for listing	Listed on Stock Exchanges (Specify Names)	whether intimated to CDSL	whether intimated to NSDL	In-principle approval pending for SE (Specify Names)	Remarks
NIL								

Register of members is updated	Yes (Maintained by R&STA)
If not, Updated up to which date	N.A.
Reference of previous quarter with regards to excess dematerialized shares, If any.	Nil
Has the company resolved the matter (excess dematerialized shares mentioned above) in the current quarter?	NA
If not, Reason why?	NA
Other Details Auditor	-

Mentioned the total no. of requests, If any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:-

Total no. of demat requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 days	0	0	NA
Pending for more than 21 days	0	0	NA



Cont...3

Compliance Officer's Details:

Whether Compliance officer appointed	Yes	
Whether Qualified Company Secretary is Compliance Officer	Yes	
Name of the compliance officer	Ms. Shikha Bansal	
Date of Appointment	12-08-2014	
Designation	Company Secretary & Compliance Officer	
Membership Nos	ACS	36520
Telephone no.	STD Code	Number
	0731	6644000
Fax no.	0731	6644300
E-mail id	secretarial@swastika.co.in	
Whether any change in Compliance Officer during the previous 2 quarters	No	
Whether the previous Compliance Officer was Qualified Company Secretary	-	
Details of Previous Compliance Officer		
Previous Compliance Officer Name	-	
Membership Nos	-	
Date of Appointment	-	
Date of Cessation	-	

Certifying Auditors Details:

CA/CS	Company Secretary	
Name of certifying auditor	L. N. Joshi	
Date of issue of report	11 th January, 2022	
Address	122, Krishna Business Centre, 11, PU-4, Next to Medanta Hospital, Rasoma Square	
City	INDORE	
Pin code	452010	
Contact no.	STD Code	Number
	0731	4266708
Fax no.	-	-
Email	Injoshics@gmail.com	
Membership no.	5201	
Firms registration number of audit firm	-	
Name of the firm	L.N. Joshi & Company	
COP number	4216	

Cont...4



Registrar and Share Transfer Agents Details:

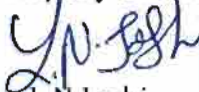
Appointment of common agency for share registry work	Yes	
Whether Registered with SEBI	Yes	
Name of RTA	Ankit Consultancy Private Limited	
SEBI registration no.	INR000000767	
Address	Plot no. 60. Electronic Complex, Pardeshipura	
State	MADHYA PRADESH	
City	INDORE	
Pin code	452010	
Contact no.	STD Code	Number
	0731	4065799/ 4065797
Fax number of RTA	0731	4065798
E-mail id	compliance@ankitonline.com	
Website Address	www.ankitonline.com	
Whether any change in Registrar and Share Transfer Agents	No	
Previous Registrar and Share Transfer Agents Name	-	
Date of Cessation	-	

Any other detail that the auditor may like to provide. (e.g. BIFR Company, delisting from Stock Exchange, Company changed its name etc.)	<ol style="list-style-type: none">1. During the end of Quarter March-2010 Company had forfeited 45700 equity shares hence listed capital has been reduced from Rs 30054000/- to Rs 29597000/-.2. During the quarter Company has dematerialized 400 equity shares for the purpose of transferring in to IEPF share suspense account, the dividend amount on above said 400 shares was unclaimed by shareholders beyond seven consecutive years and transferred to IEPF share suspense account maintained by Central Government in accordance with the provisions of section 124(6) of the Companies Act, 2013 and rules made there under.
--	---

Place: Indore

Date: 11th January, 2022

For L.N.Joshi & Co.
Company Secretaries


L.N.Joshi
Proprietor
CP No 4216



UDIN: F005201C002136671