

Format of holding of specified securities

- 1.0 Name of Listed Entity: SWASTIKA INVESTMART LIMITED
2.0 Scrip Code/Name of Scrip/Class of Security :- 530585
3.0 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a. If under 31(1)(b) then indicate the report for Quarter ending 29/09/2018
b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.0 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

| | Particulars | Yes | No |
|---|--|-----|----|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | NO |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | NO |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | NO |
| 4 | Whether the Listed Entity has any shares in locked-in? | | NO |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | NO |

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5.0 The tabular format for disclosure of holding of specified securities is as follows:-

| Category (i) | Category of shareholder (ii) | Nos. of shareholders(iii) | No. of fully paid up equity shares held(iv) | No. of partly paid up equity shares held(v) | No. of shares underlying Depository Receipts(vi) | Total nos. shares held (vii) | Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (viii) | Number of voting rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (x) | Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted shares capital)(xi) | Number of Locked in shares (xii) | | Number of Shares Pledged or other wise encumbered (xiii) | | Number of equity shares held in dematerialized form (xiv) |
|----------------------|---------------------------------------|---------------------------|---|---|--|------------------------------|--|--|------------------------|--------------|--|---|----------------------------------|-------------|--|-------------|---|
| | | | | | | | | No of Class Eq. Share | Voting Class Eq. Share | Rights Total | | | Total as a % of (a+b+c) | No.(a) | as a % of Total shares held (b) | No.(a) | |
| (A) | Promoter & Promoter Group | 15 | 1877048 | 0 | 0 | 1877048 | 63.42 | 0 | 0 | 0 | 0 | 63.42 | 0 | 0.00 | 0 | 0.00 | 1877048 |
| (B) | Public | 1032 | 1082652 | 0 | 0 | 1082652 | 36.58 | 0 | 0 | 0 | 0 | 36.58 | 0 | 0.00 | 0 | 0.00 | 1006722 |
| (C) | Non Promoter Non Public | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (C1) | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (C2) | Shares Held by Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| GRAND TOTAL : | | 1047 | 2959700 | 0 | 0 | 2959700 | 100.00 | 0 | 0 | 0 | 0 | 100 | 0 | 0.00 | 0 | 0.00 | 2883770 |

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|---|------------|---------------------------|---|---|--|------------------------------|--|--|-------------------------------|-------------------------|--|---|----------------------------------|---------------------------------|--|---------------------------------|---|--|
| | | | | | | | | No of Class Eq. Share | Voting Rights Class Eq. Share | Total as a % of (a+b+c) | | | No.(a) | as a % of Total shares held (b) | No.(a) | as a % of Total shares held (b) | | |
| (1) Indian | | | | | | | | | | | | | | | | | | |
| (A) Individuals / Hindu Undivided Family | | | | | | | | | | | | | | | | | | |
| SUNIL NYATI | AAYPN3522A | | 468398 | 0 | 0 | 468398 | 15.83 | 0 | 0 | 0 | 0 | 15.83 | 0 | 0.00 | 0 | 0.00 | 468398 | |
| ANITA NYATI | AARPN6932H | | 298000 | 0 | 0 | 298000 | 10.07 | 0 | 0 | 0 | 0 | 10.07 | 0 | 0.00 | 0 | 0.00 | 298000 | |
| DEVASHISH NYATI | AGCPN6249C | | 287000 | 0 | 0 | 287000 | 9.70 | 0 | 0 | 0 | 0 | 9.70 | 0 | 0.00 | 0 | 0.00 | 287000 | |
| PARTH NYATI | AGCPN6255G | | 287000 | 0 | 0 | 287000 | 9.70 | 0 | 0 | 0 | 0 | 9.70 | 0 | 0.00 | 0 | 0.00 | 287000 | |
| VANDANA NYATI | AAYPN3243Q | | 158700 | 0 | 0 | 158700 | 5.36 | 0 | 0 | 0 | 0 | 5.36 | 0 | 0.00 | 0 | 0.00 | 158700 | |
| ANIL KUMAR NYATI | AASPN4001G | | 154400 | 0 | 0 | 154400 | 5.22 | 0 | 0 | 0 | 0 | 5.22 | 0 | 0.00 | 0 | 0.00 | 154400 | |
| VANDIT NYATI | AEDPN3923J | | 80600 | 0 | 0 | 80600 | 2.72 | 0 | 0 | 0 | 0 | 2.72 | 0 | 0.00 | 0 | 0.00 | 80600 | |
| SATYANARAYAN MAHESHWARI | AENPM5172J | | 44900 | 0 | 0 | 44900 | 1.52 | 0 | 0 | 0 | 0 | 1.52 | 0 | 0.00 | 0 | 0.00 | 44900 | |
| SUNIL NYATI HUF | AAEHS3117A | | 31200 | 0 | 0 | 31200 | 1.05 | 0 | 0 | 0 | 0 | 1.05 | 0 | 0.00 | 0 | 0.00 | 31200 | |
| SATYANARAYAN MAHESHWARI HUF | AAJHS3509R | | 25100 | 0 | 0 | 25100 | 0.85 | 0 | 0 | 0 | 0 | 0.85 | 0 | 0.00 | 0 | 0.00 | 25100 | |
| SHILPA MAHESHWARI | ACKPM6441K | | 15900 | 0 | 0 | 15900 | 0.54 | 0 | 0 | 0 | 0 | 0.54 | 0 | 0.00 | 0 | 0.00 | 15900 | |
| KRISHNA PRABHA MAHESHWARI | ABZPM1500F | | 12000 | 0 | 0 | 12000 | 0.41 | 0 | 0 | 0 | 0 | 0.41 | 0 | 0.00 | 0 | 0.00 | 12000 | |
| RAKSHA MAHESHWARI | ABWPM2755R | | 11000 | 0 | 0 | 11000 | 0.37 | 0 | 0 | 0 | 0 | 0.37 | 0 | 0.00 | 0 | 0.00 | 11000 | |
| ANIL NYATI HUF | AACHA0297H | | 2250 | 0 | 0 | 2250 | 0.08 | 0 | 0 | 0 | 0 | 0.08 | 0 | 0.00 | 0 | 0.00 | 2250 | |
| MANISH MAHESHWARI | ACVPM4683L | | 600 | 0 | 0 | 600 | 0.02 | 0 | 0 | 0 | 0 | 0.02 | 0 | 0.00 | 0 | 0.00 | 600 | |
| (B) Central Government / State Government(s) | | | | | | | | | | | | | | | | | | |
| (C) Financial Institution / Banks | | | | | | | | | | | | | | | | | | |
| (D) Any Other (Specify) | | | | | | | | | | | | | | | | | | |
| BODIES CORPORATE | | | | | | | | | | | | | | | | | | |
| Sub Total (A) | | 15 | 1877048 | 0 | 0 | 1877048 | 63.42 | 0 | 0 | 0 | 0 | 63.42 | 0 | 0.00 | 0 | 0.00 | 1877048 | |

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|--|---------|---------------------------|---|---|--|------------------------------|--|--|--|---|----------------------------------|--|---|---------------------------------|----------|-------------|----------|
| | | | | | | | | No of Class Eq. Share | Voting Rights Class Eq. Share | Total as a % of (a+b+c) | No.(a) | as a % of Total shares held (b) | No.(a) | as a % of Total shares held (b) | | | |
| (I) Any Other (Specify) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| FOREIGN INSTITUTIONAL INVESTORS | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| Sub Total (A) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (2) Central Government / State Government(s)/ President Of India | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| Sub Total (B) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (3) Non- Institutions | | | | | | | | | | | | | | | | | |
| (A) Individuals - I. Individual Shareholders holding nominal share capital up to Rs. 2 lakhs | | 989 | 556622 | 0 | 0 | 556622 | 18.81 | 0 | 0 | 0 | 0 | 18.81 | 0 | 0.00 | 0 | 0.00 | 480692 |

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|--|------------|---------------------------|---|---|--|------------------------------|--|--|-------------------------------|-------------------------|--|---|----------------------------------|---------------------------------|--|---------------------------------|---|
| | | | | | | | | No of Class Eq. Share | Voting Rights Class Eq. Share | | | | No.(a) | as a % of Total shares held (b) | No.(a) | as a % of Total shares held (b) | |
| (G) BODIES CORPORATE | | 25 | 265478 | 0 | 0 | 265478 | 8.97 | 0 | 0 | 0 | 0 | 8.97 | 0 | 0.00 | 0 | 0.00 | 265478 |
| VIKAS INTERNATIONAL PRIVATE | AACCV0252E | | 73094 | 0 | 0 | 73094 | 2.47 | 0 | 0 | 0 | 0 | 2.47 | 0 | 0.00 | 0 | 0.00 | 73094 |
| LONGWAY BUSINESS SOLUTIONS LTD | AABCL0871A | | 68259 | 0 | 0 | 68259 | 2.31 | 0 | 0 | 0 | 0 | 2.31 | 0 | 0.00 | 0 | 0.00 | 68259 |
| SWASTIKA SECURITIES LIMITED | AAVFS0902N | | 38939 | 0 | 0 | 38939 | 1.32 | 0 | 0 | 0 | 0 | 1.32 | 0 | 0.00 | 0 | 0.00 | 38939 |
| TRADESWIFT BROKING PRIVATE LIMITED | AAECA3760A | | 33638 | 0 | 0 | 33638 | 1.14 | 0 | 0 | 0 | 0 | 1.14 | 0 | 0.00 | 0 | 0.00 | 33638 |
| (H) NRI & OCB | | 7 | 3400 | 0 | 0 | 3400 | 0.11 | 0 | 0 | 0 | 0 | 0.11 | 0 | 0.00 | 0 | 0.00 | 3400 |
| (I) Clearing Member | | 4 | 612 | 0 | 0 | 612 | 0.02 | 0 | 0 | 0 | 0 | 0.02 | 0 | 0.00 | 0 | 0.00 | 612 |
| Sub Total (C) | | 1032 | 1082652 | 0 | 0 | 1082652 | 36.58 | 0 | 0 | 0 | 0 | 36.58 | 0 | 0.00 | 0 | 0.00 | 1006722 |
| Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3) | | 1032 | 1082652 | 0 | 0 | 1082652 | 36.58 | 0 | 0 | 0 | 0 | 36.58 | 0 | 0.00 | 0 | 0.00 | 1006722 |

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|---|---------|---------------------------|---|---|---|------------------------------|--|--|-------------------------------|-------------------------|--|---|--|--|---|
| | | | | | | | | No of Class Eq. Share | Voting Rights Class Eq. Share | | | | No.(a) as a % of Total shares held (b) | No.(a) as a % of Total shares held (b) | |

(1) Custodian/DR Holder

(A) Name of DR Holder (if available)

(2) Employee Benefit Trust
(under SEBI (Share based Employee Benefit) Regulations ,2014)

| FNO /CLIENT ID | NAME | PAN | NO OF SHARES | WARRANT |
|---|--------------------|------------|---------------|----------|
| II. Individual Shareholders holding nominal share capital in excess Of Rs. 2 lakhs | | | | |
| 1208160000802138 | REEMA AMIT GUPTA | ANOPA7828B | 80171 | 0 |
| 1201770100728909 | REKHA MAHESHWARI | AHAPM7204M | 46419 | 0 |
| 1204370000001476 | KALAWATI AGRAWAL | AITPA3731F | 30100 | 0 |
| IN30302865443505 | AMIT RAMESH GUPTA | AAFPG8616F | 28971 | 0 |
| 1208180000083521 | AMIT RAMESH GUPTA | AAFPG8616F | 24719 | 0 |
| 1204370000025461 | MAHESH AGRAWAL HUF | AAJHM3103K | 24100 | 0 |
| IN30198310002905 | SUDHIR BANGUR | ACIPB3434H | 22060 | 0 |
| TOTAL RECORDS | 7 | | 256540 | 0 |

BODIES CORPORATE

| | | | | |
|----------------------|---|------------|---------------|----------|
| 1204370000082679 | VIKAS INTERNATIONAL PRIVATE | AACCV0252E | 73094 | 0 |
| 1301760000493356 | LONGWAY BUSINESS SOLUTIONS LTD | AABCL0871A | 68259 | 0 |
| 1204370000002222 | SWASTIKA SECURITIES LIMITED | AAVFS0902N | 38939 | 0 |
| 1206590000000037 | TRADESWIFT BROKING PRIVATE LIMITED | AAECA3760A | 33638 | 0 |
| 1204720013676780 | INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY O | | 24420 | 0 |
| 1301760000567069 | SHRICON INDUSTRIES LTD. | AAECS9210M | 15447 | 0 |
| 1301760000673905 | RUBYMERRY ENTERPRISES LIMITED | AADCR2727D | 6599 | 0 |
| 1204370000043749 | COUNT & DENIER MARKETING PVT. LTD. | AAACC1429N | 1500 | 0 |
| 1204370000004838 | SWASTIKA INVESTMART LIMITED | AABCS6585J | 1218 | 0 |
| 1203320000000066 | ANGEL BROKING LIMITED | AAACM6094R | 811 | 0 |
| IN30015910859508 | ANMOL SEKHRI CONSULTANTS PVT LTD | AAJCS2794K | 554 | 0 |
| IN30039419502795 | KARVY STOCK BROKING LIMITED-CLIENT ACCOUNT-BSE CM | AABCK5190K | 300 | 0 |
| IN30097410837777 | MARWADI SHARES AND FINANCE LTD. | AABCM5192K | 200 | 0 |
| IN30177410000167 | RELIGARE SECURITIES LTD | AAACF1952D | 100 | 0 |
| IN30009511440476 | IL And FS Securities Services Limited | AABCI5580K | 100 | 0 |
| 1208250000000012 | 5PAISA CAPITAL LIMITED | AABCI7142M | 100 | 0 |
| 1204940000000166 | INDIANIVESH SECURITIES LIMITED | AABCI4553L | 92 | 0 |
| 1201090000007179 | MOTILAL OSWAL SECURITIES LTD - CLIENT ACCOUNT | AAACD3654Q | 52 | 0 |
| IN30198310000168 | ARIHANT CAPITAL MKT. LTD | AABCA6832G | 26 | 0 |
| 1204630000023115 | BMA WEALTH CREATORS LTD. | AACCB5141L | 15 | 0 |
| 1205090000030009 | 3M CONSULTANTS PRIVATE LIMITED | AAACZ4167C | 5 | 0 |
| 1204150000007808 | JAINAM SHARE CONSULTANTS PVT. LTD | AABCJ3918N | 4 | 0 |
| 1204720000015095 | SBICAP SECURITIES LIMITED | AAJCS3407M | 3 | 0 |
| IN30132110399438 | ISHA SECURITIES LIMITED | AAACI3648H | 1 | 0 |
| IN30023910851718 | CENTRE FOR MONITORING INDIAN ECONOMY PVT LTD | AAACC1519P | 1 | 0 |
| TOTAL RECORDS | 25 | | 265478 | 0 |

NRI & OCB

| | | | | |
|------------------|--------------------------------|------------|------|---|
| 1202350000014461 | SAJJAN KUMAR SINGHI . | BGNPS6044J | 2000 | 0 |
| 1201860000467590 | GANGADHARAN VENKETACHALAM MANI | AANPM0271C | 699 | 0 |
| IN30154952482998 | ABINEETHA ANOOP | ASWPA2707J | 500 | 0 |
| IN30290248078840 | RANGASWAMY RAJAPPA | AJZPR3678R | 105 | 0 |
| IN30302850784867 | NOEL MARVINE DSOUZA | AITPD3023B | 75 | 0 |
| IN30023915271446 | RESHMA UNNIKRISHNAN | AFPPU2295D | 20 | 0 |

NRI & OCB

| | | | | |
|----------------------|----------|------------|-------------|----------|
| 1301440002381735 | ARUN JOY | AJTPJ3067G | 1 | 0 |
| TOTAL RECORDS | | | 3400 | 0 |

Clearing Member

| | | | | |
|----------------------|----------------------------------|------------|------------|----------|
| IN30048413645383 | AXIS SECURITIES LIMITED | AABCE6263F | 312 | 0 |
| IN30209210170803 | VOGUE COMMERCIAL COMPANY LIMITED | AAACV7077P | 157 | 0 |
| 1100001000020744 | INDO THAI SECURITIES LIMITED | AAACI4380E | 93 | 0 |
| IN30383310000055 | SWASTIKA INVESTMART LIMITED | AABCS6585J | 50 | 0 |
| TOTAL RECORDS | | | 612 | 0 |
