

## Format of holding of specified securities

- 1.0 Name of Listed Entity: SWASTIKA INVESTMART LIMITED  
2.0 Scrip Code/Name of Scrip/Class of Security :- 530585  
3.0 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)  
a. If under 31(1)(b) then indicate the report for Quarter ending 30/09/2020  
b. If under 31(1)(c) then indicate date of allotment/extinguishment  
4.0 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5.0 The tabular format for disclosure of holding of specified securities is as follows:-

Category (i)	Category of shareholder (ii)	Nos. of shareholders(iii)	No. of fully paid up equity shares held(iv)	No. of partly paid up equity shares held(v)	No. of shares underlying Depository Receipts(vi)	Total nos. shares held (vii)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957 ) (viii)	Number of voting rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted shares capital)(xi)	Number of Locked in shares (xii)		Number of Shares Pledged or other wise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								No of Class Eq. Share	Voting Class Eq. Share	Rights Total			Total as a % of (a+b+c)	No.(a)	as a % of Total shares held (b)	No.(a)	
(A)	<b>Promoter &amp; Promoter Group</b>	15	1877048	0	0	1877048	63.42	0	0	0	0	63.42	0	0.00	0	0.00	1877048
(B)	<b>Public</b>	972	1082652	0	0	1082652	36.58	0	0	0	0	36.58	0	0.00	0	0.00	1022322
(C)	<b>Non Promoter Non Public</b>	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0
(C1)	<b>Shares underlying DRs</b>	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0
(C2)	<b>Shares Held by Employee Trusts</b>	0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0
<b>GRAND TOTAL :</b>		<b>987</b>	<b>2959700</b>	<b>0</b>	<b>0</b>	<b>2959700</b>	<b>100.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>100</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>2899370</b>

Category & Name of the shareholders (i)	PAN(ii)	Nos. of shareholders(iii)	No. of fully paid up equity shares held(iv)	No. of partly paid up equity shares held(v)	No. of shares underlying Depository Receipts(vi)	Total nos. shares held (vii)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii)	Number of voting rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted shares capital)(xi)	Number of Locked in shares (xii)		Number of Shares Pledged or other wise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								No of Class Eq. Share	Voting Rights Class Eq. Share	Total as a % of (a+b+c)		No.(a)	as a % of Total shares held (b)	No.(a)	as a % of Total shares held (b)			
<b>(1) Indian</b>																		
<b>(A) Individuals / Hindu Undivided Family</b>																		
SUNIL NYATI	AAYPN3522A		468398	0	0	468398	15.83	0	0	0	0	15.83	0	0.00	0	0.00	468398	
ANITA NYATI	AARPN6932H		298000	0	0	298000	10.07	0	0	0	0	10.07	0	0.00	0	0.00	298000	
DEVASHISH NYATI	AGCPN6249C		287000	0	0	287000	9.70	0	0	0	0	9.70	0	0.00	0	0.00	287000	
PARTH NYATI	AGCPN6255G		287000	0	0	287000	9.70	0	0	0	0	9.70	0	0.00	0	0.00	287000	
ANIL KUMAR NYATI	AASPN4001G		154400	0	0	154400	5.22	0	0	0	0	5.22	0	0.00	0	0.00	154400	
VANDANA NYATI	AAYPN3243Q		110000	0	0	110000	3.72	0	0	0	0	3.72	0	0.00	0	0.00	110000	
VANDIT NYATI	AEDPN3923J		80600	0	0	80600	2.72	0	0	0	0	2.72	0	0.00	0	0.00	80600	
ANIL NYATI HUF HUF	AACHA0297H		50950	0	0	50950	1.72	0	0	0	0	1.72	0	0.00	0	0.00	50950	
SATYANARAYAN MAHESHWARI	AENPM5172J		44900	0	0	44900	1.52	0	0	0	0	1.52	0	0.00	0	0.00	44900	
SUNIL NYATI HUF	AAEHS3117A		31200	0	0	31200	1.05	0	0	0	0	1.05	0	0.00	0	0.00	31200	
SATYANARAYAN MAHESHWARI HUF HUF	AAJHS3509R		25100	0	0	25100	0.85	0	0	0	0	0.85	0	0.00	0	0.00	25100	
SHILPA MAHESHWARI	ACKPM6441K		15900	0	0	15900	0.54	0	0	0	0	0.54	0	0.00	0	0.00	15900	
KRISHNA PRABHA MAHESHWARI	ABZPM1500F		12000	0	0	12000	0.41	0	0	0	0	0.41	0	0.00	0	0.00	12000	
RAKSHA MAHESHWARI	ABWPM2755R		11000	0	0	11000	0.37	0	0	0	0	0.37	0	0.00	0	0.00	11000	
MANISH MAHESHWARI	ACVPM4683L		600	0	0	600	0.02	0	0	0	0	0.02	0	0.00	0	0.00	600	
<b>(B) Central Government / State Government(s)</b>																		
<b>(C) Financial Institution / Banks</b>																		
<b>(D) Any Other (Specify)</b>																		
BODIES CORPORATE																		
<b>Sub Total (A)</b>		<b>15</b>	<b>1877048</b>	<b>0</b>	<b>0</b>	<b>1877048</b>	<b>63.42</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>63.42</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>1877048</b>	

Category & Name of the shareholders (i)	PAN(ii)	Nos. of shareholders(iii)	No. of fully paid up equity shares held(iv)	No. of partly paid up equity shares held(v)	No. of shares underlying Depository Receipts(vi)	Total nos. shares held (vii)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957 ) (viii)	Number of voting rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities(as a percentage of diluted shares capital)(xi)	Number of Locked in shares (xii)	Number of Shares Pledged or other wise encumbered (xiii)	Number of equity shares held in dematerlized form (xiv)	
								No of Class Eq. Share	Voting Rights Class Eq. Share	Total as a % of (a+b+c)		No.(a)	as a % of Total shares held (b)	No.(a)	as a % of Total shares held (b)	

(2) Foreign

(A) Individuals (Non Resident Individuals / Foreign Individuals)

(B) Government

(C) Institutions

(D) Foreign Portfolio Investor

(E) Any Other (Specify)

BODIES  
CORPORATE

**Sub Total (B)**

**0**

**Total Shareholding of Promoter and Promoter Group**

**15**

**1877048**

**0**

**0**

**1877048**

**63.42**

**0**

**0**

**0**

**0**

**0**

**63.42**

**0**

**0.00**

**0**

**0.00**

**1877048**



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								No of Class Eq. Share	Voting Rights Class Eq. Share	Total as a % of (a+b+c)	No.(a)	as a % of Total shares held (b)	No.(a)	as a % of Total shares held (b)			
(I) Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0
FOREIGN INSTITUTIONAL INVESTORS		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0
<b>Sub Total (A)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
(2) Central Government / State Government(s)/ President Of India		0	0	0	0	0	0.00	0	0	0	0	0.00	0	0.00	0	0.00	0
<b>Sub Total (B)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
(3) Non- Institutions																	
(A) Individuals - I. Individual Shareholders holding nominal share capital up to Rs. 2 lakhs		944	608498	0	0	608498	20.56	0	0	0	0	20.56	0	0.00	0	0.00	548168

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								No of Class Eq. Share	Voting Rights Class Eq. Share	Total as a % of (a+b+c)			No.(a)	as a % of Total shares held (b)	No.(a)	as a % of Total shares held (b)		
(B) II. Individual Shareholders holding nominal share capital in excess Of Rs. 2 lakhs		5	232440	0	0	232440	7.85	0	0	0	0	0	7.85	0	0.00	0	0.00	232440
REEMA AMIT GUPTA	ANOPA7828B		80171	0	0	80171	2.71	0	0	0	0	0	2.71	0	0.00	0	0.00	80171
AMIT RAMESH GUPTA	AAFPG8616F		53690	0	0	53690	1.81	0	0	0	0	0	1.81	0	0.00	0	0.00	53690
REKHA MAHESHWARI	AHAPM7204M		46419	0	0	46419	1.57	0	0	0	0	0	1.57	0	0.00	0	0.00	46419
KALAWATI AGRAWAL	AITPA3731F		30100	0	0	30100	1.02	0	0	0	0	0	1.02	0	0.00	0	0.00	30100
(C) NBFCs Registered with RBI																		
(D) Employee Trusts																		
(E) Overseas Depositories (holding DRs) (Balanceing figure)																		
(F) Any Other (Specify)																		
(G) BODIES CORPORATE		11	206564	0	0	206564	6.98	0	0	0	0	0	6.98	0	0.00	0	0.00	206564
VIKAS INTERNATIONAL PRIVATE	AACCV0252E		75704	0	0	75704	2.56	0	0	0	0	0	2.56	0	0.00	0	0.00	75704
LONGWAY BUSINESS SOLUTIONS LTD	AABCL0871A		68259	0	0	68259	2.31	0	0	0	0	0	2.31	0	0.00	0	0.00	68259
SWASTIKA SECURITIES LIMITED	AAVFS0902N		39759	0	0	39759	1.34	0	0	0	0	0	1.34	0	0.00	0	0.00	39759

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								No of Class Eq. Share	Voting Rights Class Eq. Share	Total as a % of (a+b+c)			No.(a)	as a % of Total shares held (b)	No.(a)	as a % of Total shares held (b)		
(H) NRI & OCB		6	2285	0	0	2285	0.08	0	0	0	0	0	0.08	0	0.00	0	0.00	2285
(I) Clearing Member		5	245	0	0	245	0.01	0	0	0	0	0	0.01	0	0.00	0	0.00	245
(J) Any Other (Specify)		1	32620	0	0	32620	1.10	0	0	0	0	0	1.10	0	0.00	0	0.00	32620
INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS			32620	0	0	32620	1.10	0	0	0	0	0	1.10	0	0.00	0	0.00	32620
Foreign National		0	0	0	0	0	0.00	0	0	0	0	0	0.00	0	0.00	0	0.00	0
<b>Sub Total (C)</b>		<b>972</b>	<b>1082652</b>	<b>0</b>	<b>0</b>	<b>1082652</b>	<b>36.58</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>36.58</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>1022322</b>
<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>		<b>972</b>	<b>1082652</b>	<b>0</b>	<b>0</b>	<b>1082652</b>	<b>36.58</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>36.58</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>1022322</b>

Category & Name of the shareholders (i)	PAN(ii)	Nos. of shareholders(iii)	No. of fully paid up equity shares held(iv)	No. of partly paid up equity shares held(v)	No. of underlying Depository Receipts(vi)	Total nos. shares held (vii)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957 ) (viii)	Number of voting rights held in each class of securities		Total as a % of (a+b+c)	No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of securities(as a percentage of diluted shares capital)(xi)	Number of Locked in shares (xii)	Number of Shares Pledged or other wise encumbered (xiii)	Number of equity shares held in dematerlized form (xiv)
								No of Class Eq. Share	Voting Rights Class Eq. Share				No.(a) as a % of Total shares held (b)	No.(a) as a % of Total shares held (b)	

(1) Custodian/DR Holder

(A) Name of DR Holder (if available)

(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations ,2014)

Table V - Statement showing details of significant beneficial owners (SBOs)

Sr.No	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/exercise of right of the SBO in the reporting company, whether direct or indirect: (III)	Date of creation / acquisition of significant beneficial interest (IV)
	Name	PAN/Passport no. in case of a foreign national	Nationality	Name	PAN/Passport no. in case of a foreign national	Nationality		
1	SUNIL NYATI	AAYPN3522A	INDIAN	SUNIL NYATI HUF	AAEHS3117A	INDIAN	Whether by virtue of :	14/05/2015
							Shares	16.88
							Voting rights	16.88
							Rights on distributable dividend or any other distribution	16.88
							Exercise of control	
							Exercise of significant influence	

FNO /CLIENT ID	NAME	PAN	NO OF SHARES	WARRANT
<b>II. Individual Shareholders holding nominal share capital in excess Of Rs. 2 lakhs</b>				
	REEMA AMIT GUPTA	ANOPA7828B	80171	0
	AMIT RAMESH GUPTA	AAFPG8616F	53690	0
	REKHA MAHESHWARI	AHAPM7204M	46419	0
	KALAWATI AGRAWAL	AITPA3731F	30100	0
	SUDHIR BANGUR	ACIPB3434H	22060	0
<b>TOTAL RECORDS</b>	<b>5</b>		<b>232440</b>	<b>0</b>
<b>BODIES CORPORATE</b>				
	VIKAS INTERNATIONAL PRIVATE	AACCV0252E	75704	0
	LONGWAY BUSINESS SOLUTIONS LTD	AABCL0871A	68259	0
	SWASTIKA SECURITIES LIMITED	AAVFS0902N	39759	0
	SHRICON INDUSTRIES LTD.	AAECS9210M	15447	0
	RUBYMERRY ENTERPRISES LIMITED	AADCR2727D	6599	0
	ANMOL SEKHRI CONSULTANTS PRIVATE LIMITED	AAJCS2794K	451	0
	SAGARIKA INFRASTRUCTURE PRIVATE LIMITED	AAPCS1951E	337	0
	3M CONSULTANTS PRIVATE LIMITED	AAACZ4167C	5	0
	ISHA SECURITIES LIMITED	AAACI3648H	1	0
	CENTRE FOR MONITORING INDIAN ECONOMY PVT LTD	AAACC1519P	1	0
	SUREFIN FINANCIAL CONSULTANTS PVT. LTD.	AAHCS8033K	1	0
<b>TOTAL RECORDS</b>	<b>11</b>		<b>206564</b>	<b>0</b>
<b>NRI &amp; OCB</b>				
	SAJJAN KUMAR SINGHI .	BGNPS6044J	2000	0
	RANGASWAMY RAJAPPA	AJZPR3678R	105	0
	M MURALIMANOHAR	AJKPM0418M	100	0
	NOEL MARVINE DSOUZA	AITPD3023B	59	0
	RESHMA UNNIKRISHNAN	AFPPU2295D	20	0
	ARUN JOY	AJTPJ3067G	1	0
<b>TOTAL RECORDS</b>	<b>6</b>		<b>2285</b>	<b>0</b>
<b>Clearing Member</b>				
	MOTILAL OSWAL FINANCIAL SERVICES LIMITED - POOL ACCOUNT	AAECM2876P	100	0
	ZERODHA BROKING	AABCZ2616B	98	0
	GEOJIT FINANCIAL SERVICES LIMITED	AABCG1935E	45	0
	INTEGRATED ENTERPRISES (INDIA) PRIVATE LTD.	AAACI1509F	1	0
	SWASTIKA INVESTMART LTD	AABCS6585J	1	0
<b>TOTAL RECORDS</b>	<b>5</b>		<b>245</b>	<b>0</b>
<b>Any Other (Specify)</b>				
	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY O	1204720013676780	32620	0

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**TOTAL RECORDS**

**1**

**32620**

**0**

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